# EXHIBIT A

#### Case 5:21-cv-06561 Document 3-1 Filed 08/25/21 Page 2 of 110 E-FILED 4/30/2021 11:59 PM Clerk of Court Gregory Keenan (pro hac vice forthcoming) Superior Court of CA, DIGITAL JUSTICE FOUNDATION County of Santa Clara 81 Stewart Street 21CV382518 Floral Park, New York 11001 3 Reviewed By: Y. Chavez (516) 633-2633 gregory@digitaljusticefoundation.org 4 5 Andrew Grimm (pro hac vice forthcoming) DIGITAL JUSTICE FOUNDATION 6 15287 Pepperwood Drive Omaha, Nebraska 68154 (531) 210-2381 andrew@digitaljusticefoundation.org 8 9 Ryan Hamilton (SBN 291349) HAMILTON LAW LLC 10 5125 South Durango, Suite C Las Vegas, Nevada 89113 11 (702) 818-1818 ryan@hamlegal.com 12 13 Attorneys for Plaintiffs<sup>1</sup> 14 IN THE SUPERIOR COURT OF CALIFORNIA 15 FOR THE COUNTY OF SANTA CLARA 16 CIVIL DIVISION 17 21CV382518 18 THE ESTATE OF I Case No.: , John Herndon, J CLASS ACTION 19 "H , a minor, T $H_{\rm H}$ , a minor, **Complaint for** 20 Failure to Adequately Warn, 21 on behalf of themselves and all others similarly Wrongful Death, and situated, Negligence. 22 Plaintiffs, [Jury Trial Demanded] 23 V. 24 NETFLIX INC., Defendant. 25 26

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# I. NATURE OF THE CASE

- In April 2017, child suicides spiked. This wave of suicides came as a surprise to most.
   Teachers, politicians, journalists, hospital staff, psychiatric experts, suicide-prevention advocates, and, most of all, heartbroken families of the victims themselves were all shocked as the number of child deaths mounted.
- 2. But these suicides were not entirely unforeseen. One entity had been made aware that these deaths could and would assuredly happen if it did not change its course of action: Defendant Netflix Inc. and its pertinent subsidiaries (collectively "Netflix").
- 3. Netflix should have been able to foresee this spike in child suicides because its tortious actions and omissions caused these deaths and it was warned in advance. Yet Netflix proceeded anyway, prioritizing its own strategy goals of market dominance in the youth demographic over the lives and well-being of vulnerable populations it knew would suffer—and die—if it did not provide greater warnings and take reasonable, common-sense steps to avoid using its data in a reckless manner that harmed children.
- 4. In March of 2017, Netflix released a show, <u>Thirteen Reasons Why</u> ("Show") on its streaming service. Before that, however, it had been warned by experts backed by decades of empirical research that child suicides and other profound psychological harm would occur if impressionable youths were targeted and not warned of the health risks inherent in viewing the Show.
- 5. Netflix had been put on notice of the risk and concrete prospects of serious, irreparable harm that its Show posed to the most vulnerable of viewers: children. Yet Netflix failed to take reasonable, appropriate, and commonsensical cautionary measures. It failed to warn of known harms and health risks—the very risks that it had been warned about ahead of time. Instead, it used its sophisticated, targeted recommendation systems to push the Show on unsuspecting and vulnerable children, using its cutting-edge technology.

- 6. As children began to die, the experts started to piece the tragedies together. For example, years after the Show's release, the National Institute of Mental Health associated the 28.9% increase in the child-suicide rate during the month of April 2017 with Netflix's Show—a child-suicide spike that could have been avoided had Netflix taken basic moral responsibilities to warn and to not target its most vulnerable viewers.
- 7. Yet, even after empirical researchers repeatedly identified the profound human cost of Netflix's decisions, Netflix still did not meaningfully warn about the dangers of its Show, and did not moderate its algorithms to avoid targeting vulnerable children. Instead, Netflix dug its heels in for years, choosing a path of callous resistance to the realities of hundreds of children whose deaths Netflix had tortiously caused.

# II. PARTIES

- 8. Plaintiffs. Decedent I "B" "H" was a natural person domiciled in the State of California. She died as a result of the tortious acts and omissions of Netflix that caused, or at least substantially contributed to, her suicide. B" s father, John Herndon; her younger minor brothers, J" "M" and T" P" H" and T" P" H" and T" P" H" and Survivor claims against Netflix both in their capacities as individuals (and/or individual-representatives of the Estate) and in their capacities as class-representatives on behalf of all others similarly situated. The survivorship claims are asserted by the Estate and/or John Herndon. The wrongful-death claims are asserted by B" s younger minor brothers, J" "M" H" and T" P" H" H".
- 9. **Defendant.** Netflix is a corporate entity domiciled and at-home in the State of California. Netflix's tortious acts and omissions caused, or at least substantially contributed to, Burn's suicide and substantial harms, including death, to many other children.

# III. JURISDICTION & VENUE

- 10. **Jurisdiction.** This action arises under California causes of action. This Court has subject-matter jurisdiction. (See Code Civ. Proc. § 410.10.) Netflix maintains its principal place of business in Los Gatos, California. Netflix also maintains systemic, continuous and substantial contacts with California consumers in the form of offering membership subscriptions to its content-streaming service. Netflix's activities in California are and were highly interactive, systemic and continuous so as to support a finding of general, all-purpose jurisdiction in this Court. (See Code Civ. Pro. § 410.10.)
- 11. **Venue.** Netflix's principal office is in Los Gatos, California, in Santa Clara County and, on information and belief, substantially all of the tortious acts occurred there. Thus, this Court is a proper venue. (See Code Civ. Pro § 395, subd. b.)

# IV. STATEMENT OF FACTS

- A. After the novel <u>Thirteen Reasons Why</u> was published, Netflix adapted it into a startingly graphic streaming show.
- 12. In October 2007, Jay Asher's novel <u>Thirteen Reasons Why</u> ("Novel") was published. The Novel takes readers through transcripts of fictional audiotapes recorded by its main character, Hannah Baker, before her suicide. Each of the Novel's thirteen fictional transcripts gives an anecdote addressed to another character who Baker partially blamed for causing her suicide. The Novel was a hit, making the New York Times' young-adult best-seller list a few times. (Rich, <u>A Story of a Teenager's Suicide Quietly Becomes a Best Seller</u>, The New York Times (Mar. 9, 2009).)
- 13. Years later, Netflix purchased the rights for a television show that had been adapted from the Novel ("Show"). Part of the business case for adapting the Novel into the Show was that the Novel already had a "huge following" and "huge fan base" so the Show was expected to attract younger audiences. (Rochlin, *Selena Gomez (and Others) on Adapting 'Thirteen Reasons Why' for Netflix*, The New York Times (Mar. 22, 2017).)

- 14. As with the Novel, the Show features "broken friendships, a fatal auto accident" and "startlingly naturalistic depictions of rape and suicide." Yet Netflix's adaptation of the Novel into thirteen hours of streaming content made several significant changes. (Hale, *Review: '13 Reasons Why' She Killed Herself, Drawn Out on Netflix*, The New York Times (Mar. 30, 2017).)
- 15. One difference between the Novel and the Show is pacing. The Novel is quick-paced and, as a reviewer notes, "stylistically economical[.]" By contrast, the Show "demands that you listen to a suicide note for thirteen hours, while the suicide in question is built up as the grand climax[.]" (Tolentino, "13 Reasons Why" Makes a Smarmy Spectacle of Suicide, The New Yorker (May 10, 2017).)
- 16. Perhaps the most drastic difference between the Novel and the Show is how they depict the main character Hannah Baker's suicide:

[The Show's creators] decided to depict Hannah's suicide in "unflinching" detail." In the book, she swallows pills. In the show, she saws vertically at her forearms with razor blades, sobbing and screaming in an overflowing, pinkish tub.

- (Tolentino, "13 Reasons Why" Makes a Smarmy Spectacle of Suicide, The New Yorker (May 10, 2017).)
- 17. Ultimately, Netflix removed this graphic, three-minute-long scene from the Show in July 2019 after years of public outcry that the scene "glorified suicide." (Watson, *Who has died in 13 Reasons Why?*, Express Online (June 12, 2020).)

# B. Netflix's widespread dissemination of its <u>Thirteen Reasons Why</u> Show was successful but concerning.

- 18. When it was released on Netflix's streaming platform in March 2017, the Show was a huge hit. It was especially popular with younger viewers, a key demographic in Netflix's sights as it was trying to maintain its streaming dominance.
- 19. Yet the Show's release was also marred by controversy. The positive buzz in some circles was stained by other views that the show glorified suicide and was morally irresponsible. (Gilbert, *What Went Wrong With 13 Reasons Why?*, The Atlantic (May 4, 2017).)

- 20. One major concern was that this unsuitable content was being "watched by young people on phones or laptops without the awareness of their parents." (Rosman, <u>Netflix Triggers Online Debate With a Show About Teen Suicide, '13 Reasons Why,</u>' The New York Times, Apr. 19, 2017).)
- 21. Nonetheless, the Show's broad exhibition was a cultural event. Twitter debates ignited. Parents were concerned. Teenagers imitated the Show in a variety of ways. Some painted their fingernails to imitate the Show. One high-school student recorded thirteen cassette tapes when asking a classmate to prom. (Rosman, *Netflix Triggers Online Debate With a Show About Teen Suicide, '13 Reasons Why*', The New York Times (Apr. 19, 2017).)
- C. Netflix is *not* being sued for its creation, dissemination, exhibition, advertisement, or other similar promotion of its Show, <u>Thirteen Reasons Why</u>.
- 22. The above allegations in paragraphs 12-21 are provided for background and context but are expressly *not* the basis of why Netflix is being sued.
- 23. Specifically, Netflix is *not* being sued because it created a Show of questionable morality that arguably glorifies teenage suicide. It is *not* being sued because it disseminated, *i.e.*, publicly broadcasted, the Show by offering it for public consumption. It is *not* being sued because it publicly exhibited this content, advertised it generally to the public, or similarly promoted it. Netflix is *not* being sued for its creation, dissemination, exhibition, advertisement, or similar promotion of its Show.
- 24. Rather, the bases of the claims against Netflix stem from something else: (1) Netflix's failure to adequately warn of its Show's, *i.e.*, its product's, dangerous features and (2) Netflix's use of its trove of individualized data about its users to specifically target vulnerable children and manipulate them into watching content that was deeply harmful to them—despite dire warnings about the likely and foreseeable consequences to such children. Both are detailed below.

- D. Experts warned Netflix in advance that its Show, <u>Thirteen Reasons Why</u>, would kill children but Netflix gave no adequate warning to viewers of this risk.
  - 25. When the Show was in production, its creators consulted several mental-health professionals.
- 26. Contrary to the creators' unexamined hypothesis that depicting the ugliness and brutality of suicide would somehow deter teenage suicides, the consensus of suicide-prevention experts warns of just the opposite effect—the potential for suicide-contagion effects upon impressionable viewers. Depicting suicide as the Show does to children would likely result in deaths. Netflix was warned about this risk in advance but did not heed guidelines about how to warn of suicide-related content. (Gilbert, *What Went Wrong With 13 Reasons Why?*, The Atlantic (May 4, 2017).)
- 27. Specifically, Dr. Dan Reidenberg, the executive director of a nonprofit suicide-prevention organization, Suicide Awareness Voices of Education, reviewed the Show about a month or so *before* its release. Netflix had asked for Dr. Reidenberg's guidance. Dr. Reidenberg advised Netflix to cancel the release but was told by Netflix that it "wasn't an option." "They made that very clear to me," Dr. Reidenberg later told the press. (Eisenstadt, <u>'13 Reasons Why' is a hit, but suicide expert told Netflix not to release series</u>, Syracuse.com (Apr. 26, 2017).)
- 28. Dr. Reidenberg's concerns were not just about uncomfortable feelings and content. He was worried that the Show itself would *cause* suicides in impressionable children and lead to their deaths if they watched it. (Gilbert, *What Went Wrong With 13 Reasons Why?*, The Atlantic (May 4, 2017).)

29. Nor was Dr. Reidenberg a lone dissenting voice in the scientific community. Well before Netflix released the Show, it was well-known in the scientific community that depictions of suicide can themselves *cause* suicide in vulnerable populations:

Mental illness is not a communicable disease, but there's a strong body of evidence that suicide is still contagious. Publicity surrounding a suicide has been repeatedly and definitively linked to a subsequent increase in suicide, *especially among young people*.

- (*E.g.*, Sanger-Katz, *The Science Behind Suicide Contagion*, The New York Times (Aug. 13, 2014) (emphasis added).)
- 30. Netflix failed to warn of these health risks. Netflix included some advisories but these advisories have been woefully inadequate because they do not reasonably warn of the risk that the Show could cause suicide. Some of its advisories were only added a month after the Show's release—well after an anticipated millions of children had viewed the Show.

  (Andrews, Netflix's '13 Reasons Why' gets more trigger warnings. Critics say it glamorizes teen suicide, Washington Post (May 1, 2017).) To many experts, Netflix's advisories came as too little too late. (See Grunberger, '13 Reasons Why' warning is a start, experts say, but they want more, CNN (Apr. 5, 2018).)
- 31. Even as of the filing of this Complaint, none of Netflix's advisories meaningful warn that the Show itself could cause suicide. Instead, they use vague language that a reasonable person would think merely indicates mature subject matter, rather than a real risk of genuine harm.

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32. As of today, the Show displays the following advisory before the beginning of the first season:

> Hi, I'm Dylan Minette and I play Clay Jensen. I'm Katherine Langford and I play Hannah Baker. I'm Justin Prentice, I play Bryce Walker. I'm Alisha Boe, I play Jessica Davis.

> Thirteen Reasons Why is a fictional series that tackles tough real-world issues taking a look at sexual assault, substance abuse, suicide and more. By shedding a light on these difficult topics, we hope our show can help viewers start a conversation. But if you are struggling with these issues yourself this series may not be right for you or you may want to watch it with a trusted adult.

> And if you ever feel you need someone to talk with, reach out to a parent, a friend, a school counselor or an adult you trust call a local help line or go to 13ReasonsWhy.info. Because the minute you start talking about it, it gets easier.

Among other problems, this advisory does not warn that viewing the Show could itself cause suicide, suicidal ideation, etc.

- 33. Instead, it merely suggests that there are mature themes depicted and that the presence of a trusted adult might be desirable. There is no clear indication of the foreseeable harms, rather than a suggestion that the themes may be emotional or psychologically difficult.
- 34. Likewise, as of today, the Show's thirteenth episode displays a cursory advisory placard that reads as follows: "The following episode contains graphic depictions of suicide and violence, which some viewers may find disturbing. It is intended for mature audiences. Viewer discretion is advised." This generic language is insufficient to warn reasonable viewers that the episode is not merely mature-themed but that watching it could cause or contribute to suicide or suicidal ideations.
- 35. Worse, not all of these advisories existed at the time of the Show's release, when Netflix began targeting the Show to vulnerable users and populations. And, the fundamental problem is that these advisories fail to discuss the foreseeable risk of concrete harm to vulnerable persons. By comparison, prescription-drug labels warn of concrete risks of side effects. Cigarette-warning labels indicate risk of health effects from smoking cigarettes, not merely that "discretion is advised."

- 36. Here, without more express warnings, no reasonable person would be aware of the genuine and real health risks posed by the Show to vulnerable viewers. Without adequate warnings, Netflix did not permit its subscribers and families to make genuinely informed choices upfront about whether the Show's content is right for them, their family, or their children.
- 37. Moreover, experts were troubled that Netflix's content suggested that seeking help for suicidal ideation is fruitless and useless whereas committing suicide may be a source of individual agency. (Todd, *Here's What 7 Mental Health Experts Really Think About '13 Reasons Why,*' SELF (May 9, 2018).) Netflix failed to give any warning or advisory about how seeking help can improve outcomes and avoid significant self-harm or suicide. Thus, Netflix failed to warn that some of its themes would inhibit impressionable and vulnerable viewers from seeking professional help for their suicidal ideation.
- 38. Furthermore, Netflix's pre-season advisory is inadequate because it fails to indicate where the most dangerous content appears in the Show. The Show becomes dramatically more graphic over the course of its first season without another warning until episode nine. Thus, the warning at the beginning of the Show followed by comparatively tame episodes would leave a reasonable parent unaware and with no easy way to figure out where the most harmful content would be found and when and how to avoid that content.
- 39. Netflix failed to warn of the dangers of its Show in another way. Netflix gave no indication of any of the warning signs associated with a high risk for suicide. By no means did Netflix frame its advisories in a way that a vulnerable child or parent would have gleaned any further understanding of the psychological differences between an intense emotional reaction to disturbing content and dangerous signs of suicidal ideation.
- 40. To this day, Netflix gives no such meaningful warning that its content can cause suicides in vulnerable children. Netflix decided to give no serious warning that its content could kill, despite having been put on notice of this risk in advance of releasing its Show.

# E. Netflix's failure to adequately warn harmed and caused the death of many children.

- 41. The tragic and significant costs of Netflix's decision not to adequately warn began to appear almost immediately after Netflix released the Show.
- 42. Without any meaningful warnings, families and children were largely unaware of the major health risks posed by watching the Show. They were not warned about an extremely dangerous product that was being targeted at their children.
- 43. At first, the indications of Netflix's role in the spike in child suicides was anecdotal. Then, scientists and empiricists started demonstrating empirically that widespread harm to children came from Netflix's inadequate warnings and targeting of vulnerable kids.
- 44. One alarming story came shortly after the Show's release. A school superintendent in Florida, reported that counselors, teachers, and principals reported over a dozen cases of very concerning behavior by children—a significant spike in "youth at-risk behavior <u>at the elementary and middle school levels</u> to include self-mutilation, threats of suicide, and multiple Baker Act incidents." (Strauss, <u>Schools superintendent: Students are harming themselves and citing '13 Reasons Why</u>, Washington Post (Apr. 29, 2017) (emphasis added).)
- 45. Such a result was not unforeseeable. As one leading psychiatric researcher stated: "Research shows us that the more obvious, florid, dramatic, and explicit the portrayal is as disturbing as it is to most of us, there's the potential that for some people who see it, who are really struggling with something, this winds up being in some way strangely appealing." (Grady, *Critics say 13 Reasons Why has artistic merit. Suicide prevention experts say it's dangerous*, Vox.com (June 9, 2017).)
- 46. Empirical research followed. It confirmed what the educators, parents, and counselors were seeing on the ground. There was a significant spike in suicides in April 2017 following the Show's release without adequate warning and with significant targeting at children. The number of Internet searches for how to commit suicide spiked at the same time that fewer children were seeking help from crisis-suicide-prevention services that connect children to mental-health resources and help avoid suicide. (Thompson et al, *Crisis Text Line use*

- following the release of Netflix series 13 Reasons Why Season 1: Time-series analysis of help-seeking behavior in youth, 14 Preventive Medicine Reports (June 2019).)
- 47. Researchers also identified that the spike in hospital admissions at a children's hospital for children suffering from self-harm stemmed from the release of the Show on Netflix's streaming service. (Cooper et al., *Suicide Attempt Admissions From a Single Children's Hospital Before and After the Introduction of Netflix Series 13 Reasons Why*, 63 Journal of Adolescent Health 688 (Dec. 2018).)
- 48. Subsequent research has again and again confirmed similar empirical effects on suicide rates in the United States closely correlated to the release of the Show (without adequate warnings and targeted at children). (Bridge et al., <u>Association Between the Release of Netflix's 13</u>
  Reasons Why and Suicide Rates in the United States: An Interrupted Time Series Analysis,
  59 Journal of the American Academy of Child & Adolescent Psychiatry 236 (Feb. 2020);
  Niederkrotenthaler et al., <u>Association of Increased Youth Suicides in the United States With the Release of 13 Reasons Why</u>, 76 Journal of the American Medical Association –
  Psychiatry 933 (May 29, 2019).)
- 49. The effect was not merely domestic. For example, similar devastating impacts were identified in Canada. (*E.g.*, Sinyoir et al., *Suicides in Young People in Ontario Following the Release of "13 Reasons Why*," 64 Canadian Journal of Psychiatry (Aug. 21, 2019).) Even empirical research sponsored and paid for by Netflix indicated troubling trends with respect to the effects of Netflix's failure to warn and targeting sizeable portions of child viewers.
- 50. All in all, the consensus of empirical research is clear: Netflix's tortious acts and omissions caused hundreds of deaths and thousands of suicide attempts.

- And, Netflix treated B H according to its typical practices of monitoring users' activities and manipulating their viewing decisions via sophisticated, targeted recommendation algorithms. That is, Netflix used its data about B H to recommend the show to her, to manipulate her into watching it.
- Signs would be if she began suffering those health risks. In sum, Netflix never provided a warning of the health risks of watching the Show when using sophisticated, targeted recommendation systems to manipulate the viewing behaviors of minors and to push its dangerous product, *i.e.*, the Show, on minors, such as Barrell Hammer.
- F. Netflix used unprecedented levels of data collection, algorithmic data processing, and analytical insights to precisely target some of the most vulnerable members in society with traumatic content that had no adequate warning.
- 54. It cannot be emphasized enough that what Netflix did was entirely different than merely put a book on library bookshelves or put a show on TV. A Netflix engineering director put it best when describing Netflix's capabilities with respect to its users in 2013:

We know what you played, searched for, or rated, as well as the time, date, and device. We even track user interactions such as browsing or scrolling behavior.

(Vanderbilt, *The Science Behind the Netflix Algorithms That Decide What You'll Watch Next, Wired* (Aug. 7, 2013) (interview with Netflix's engineering director, Xavier Amtraiain, describing how "how they control what you watch" (emphasis added)).)

55. As of 2013, several years before Netflix released the Show on its steaming services, its recommendation engine and algorithms already controlled and actively manipulated the vast majority of what its users decide to watch such that "75 percent of viewer activity is driven by" Netflix's targeted recommendation systems. (*Ibid.*)

- 56. Netflix helps users find shows or movies with minimal effort by utilizing algorithms to personalize the user experience. Netflix's algorithms achieve these personalized recommendations by considering factors like viewing history, time of day a user watches, devices watched on, how long a viewer watches, and information about the titles watched. (Netflix, <a href="#">How Netflix's Recommendations System Works</a>, Netflix Help Center (last accessed Apr. 30, 2021).)
- 57. Netflix has access to nearly limitless data about its users through its online streaming service. Netflix feeds this information into the Netflix Recommender System, *i.e.*, a series of algorithms that personalize the viewer experience to improve Netflix's viewer retention rate. Netflix achieves 80% of its stream time utilizing its Recommender System. (Chong, *Deep Dive into Netflix's Recommender System*, towards data science (Apr. 30, 2020).)
- 58. Indeed, there is no reason to believe that Netflix treated B H any differently, or any of the children targeted and manipulated in watching the Show, than the rest of the users on Netflix's platform.
- 59. In accordance with Netflix's practices, Netflix watched B 's browsing and scrolling behavior, tracking them so that Netflix could manipulate and control what content she would watch on the Netflix streaming service. In accordance with Netflix's practices, Netflix watched the time, date, and devices on which B used Netflix's streaming services, tracking them so that Netflix could manipulate and control what content she would watch on the Netflix streaming service.
- 60. Netflix is, in fact proud of its ability to control what its viewers will watch:



- 61. Given that Netflix itself estimates that "75 percent of viewer activity is driven" by Netflix's sophisticated, targeted recommendation systems, it is likely that Netflix successfully manipulated B H so viewing selections when she used Netflix's streaming services. Netflix targeted and manipulated B viewing choices, and thereby exposed her to the dangerous health risks associated with watching the Show.
- 62. After watching the Show during the month of April, B experienced emotional and psychological distress and harm.
- G. Only after hundreds of children died and after thousands were harmed did Netflix removed its most gratuitous scene of violent suicide, having never warned of the harm it could cause while targeting children directly with that content.
- 63. After the Show was released without warning and targeted to vulnerable populations, mental health experts worried that the failure to warn coupled with the "graphic depiction of Hannah's suicide might function as a how-to guide." (Grady, 13 Reasons Why takes a voyeuristic lens to rape and suicide, with complicated results, Vox.com (May 1, 2017).)
- 64. After the empirical evidence of widespread harm mounted; after report after report of tragedy for families and children; after child-welfare and suicide-prevention advocates and experts expressed their outrage, Netflix removed the scene that was causing the most harm from the Show.
- 65. Ultimately, Netflix simply decided to remove its most dangerous content, having never meaningfully warned of the health risks:

The original, nearly three-minute-long scene — which is no longer available on Netflix — aired midway through the season one finale. It depicted breakout star Katherine Langford's Hannah assessing her life in the mirror before she is depicted sitting in a bathtub, tear on her cheek, taking a razor blade to her left wrist and piercing the skin. The camera then holds on the character as she shrieks in pain as blood gushes from an increasingly long cut that extends nearly up to her elbow. Hannah is then seen gasping for air as her breathing ultimately slows and bloodstained water tips out of the tub. Not long after, Hannah's mother (Kate Walsh) discovers her daughter's lifeless body in the blood-filled tub. Male lead Dylan Minnette provides voiceover during the entire scene as he tells the school's guidance counselor (played by Derek Luke) precisely what happened to Hannah.

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2	The new scene, which has been updated on the Netflix site, features Hannah
3 4	looking at herself in the mirror before cutting to her parents' reaction to her suicide. There is no longer any depiction of the character taking a razor blade to her wrists and the immediate aftermath.
5	(Goldberg, Netflix Alters Graphic '13 Reasons Why' Suicide Scene After Controversy, The
6	Hollywood Reporter (July 15, 2019).)
7	66. The damage of Netflix's years-long refusal to warn and targeting of children had already
8	been done. As one example, on April 28, 2017, I "B" "B" "H" fell victim to
9	suicide. B H fell victim to the very health risk that medical experts and suicide-
10	prevention experts had warned Netflix about regarding the Show. B
11	many suicides predicted before the Show's release. Bell Harm was a victim of the well
12	documented, unnatural 28.9% spike in child suicides that occurred after the Show's debut
13	specifically during the month of April 2017.
14	67. B H was laid to rest at the age of 16 at Saint Charles Borromeo Church in
15	Livermore, California on May 15, 2017.
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#### V. CLASS ACTION ALLEGATIONS

- 68. The claims asserted herein are appropriate for resolution through a class action. Not only are the claims susceptible for class resolution, but it is also important that they are adjudicated on a class basis, both because the claims require expertise and the members of the class have, on information and belief, faced significant challenges accessing legal representation. It is at least known that the Herndon family has faced significant barriers to legal representation.
  - a. As an initial matter, there are complexities to the case that are significant. The claims involve issues of suicide, suicidal ideation, psychological trauma, as well as larger questions about teenage psychology underlying population awareness of warning signs of suicide and interpretation of advisories, *etc*. These complex issues are better resolved through a class vehicle rather than burdening each class member and their individualized counsel (if they are able to retain one) with extensive litigation and re-litigation on those questions.
  - b. What is more, there is substantial technological and algorithmic complexity of Netflix's targeting, recommendation, and manipulation activities—requiring certain levels of expertise and dedication to meaningfully understand. Again, these complexities weigh in strong favor of class resolution because requiring individual plaintiffs to discover the essential issues, comprehend them, try them, *etc.*, would be extraordinarily expensive and consume significant amounts of time.
  - c. Finally, the Herndons have faced substantial barriers to finding any lawyer who was both willing and able to represent them in this case. In all likelihood, so have the remaining members of the classes. There have been very real access-to-counsel issues for aggrieved families suffering from Netflix's tortious actions.

These reasons favoring class adjudication run the gamut: abstract questions of justice and fairness; pragmatic synergies and efficiencies in the conduct of the litigation and discovery, and the harsh realities of access to law for public-interest cases in contemporary society for everyday Americans. All favor class adjudication.

- 69. Here, as a result of Netflix's inadequate warnings, Netflix caused the death of an estimated hundreds, possibly a thousand, children who committed suicide since the release of the Show, with their many survivors, heirs, *etc.*, holding viable claims. Beyond those who died, there are many more who suffered substantial trauma at the hands of callous business decisions that prioritized reaching certain business milestones over the safety of Netflix's customers. In this situation, the technology is a double-edge sword. Although it permitted the targeting and manipulation of very vulnerable persons, it also permits the class to be ascertained with greater ease. Thus, the classes are both ascertainable and numerous.
- 70. Common questions of law and fact predominate here. The central thread throughout is Netflix's tortious actions and omissions, both its decisions not to adequately warn and to target and manipulate vulnerable persons. Nearly every legal and factual question in the case appears, at this juncture, susceptible for class-wide adjudication. Therefore, there exists a well-defined community of interest that would be highly impracticable absent class adjudication.
- Having lost a sibling to suicide as a result of Netflix's failure to provide adequate warning, and Market Have claims typical of the class of plaintiffs who may assert a wrongful death claim for having lost a family member. The and Market Have may adequately represent this class. Having lost a minor child to suicide as a result of Netflix's failure to provide adequate warning, John Herndon has claims typical of class of plaintiffs who may still assert a survival action. John Herndon may adequately represent this class.
- 72. The claims here meet the requirements for class-adjudication. In fact, a number of compelling reasons militate in favor of class-certification.

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#### VI. CAUSES OF ACTION

# <u>First Cause of Action</u> <u>Strict Liability—Failure To Warn</u>

- 73. PLAINTIFFS, the Estate of decedent I "B" "H" and decedent's surviving father, John Herndon, hereby repeat and reallege the paragraphs alleged above, on behalf of themselves and all others similarly situated. These allegations expressly include the clarifications about what is not the bases of these claims. See ¶¶ 12-21.
- 74. Netflix manufactured, distributed and/or sold a product, *i.e.*, its Show, Thirteen Reasons Why, and continues to do so. This cause of action does not arise from Netflix's manufacture or creation of the Show, but rather from its targeted distribution of the Show to vulnerable children as well as its sale of the Show without adequate warnings, as part of a subscription package on its streaming service.
- 75. The Show posed serious health risks that were known to or reasonably knowable by Netflix. Indeed, such health risks had been brought to Netflix's attention prior to the Show's release. The foreseeable health risks of such behavior have been extensively documented by the medical, scientific, and suicide-prevention communities.
- 76. Ordinary consumers would not have recognized or been aware of the health risks absent an adequate warning. Ordinary consumers would not recognize or be aware of these health risks even after viewing Netflix's later-added advisories. The advisories merely suggest potential discomfort that may result from mature themes and give no indication of the known health risks caused by the Show.
- 77. Netflix failed to adequately warn children and their families of the health risks of viewing its Show. As a result of the lack of adequate warning, decedent B H and those similarly situated to her were tortiously harmed. Children viewers targeted by Netflix and their adult parents/guardians were not informed that watching the Show could cause or contribute to suicide or suicidal ideations.

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27 28 WHEREFORE, the aforementioned PLAINTIFFs demand judgment against Defendant Netflix for whatever amount to be determined by a jury after trial, including but not limited to compensatory damages, such as, medical bills, lost wages, lost earning capacity, and pain and suffering and, if applicable, punitive damages, costs, fees, and all other possible relief. To the extent permissible, declaratory relief is also sought.

# Second Cause of Action Wrongful Death

- 78. PLAINTIFFS, decedent B H 's brothers, J , both minors, hereby repeat and reallege the paragraphs alleged above, on behalf of themselves and all others similarly situated. These allegations expressly include the clarifications about what is not the bases of these claims. See  $\P$  12-21.
- 79. As a direct, proximate, and legal result of Netflix's negligent and intentional acts and omissions, B and those similarly situated died. Netflix caused these deaths through its tortious, negligent, and/or reckless behaviors, including through the tortious targeting of vulnerable persons with the Show, manipulating their viewing behaviors, and without providing fair warning of the health risks associated with the Show. As a direct, proximate, and legal result of Netflix's failure to warn, decedents suffered injuries that resulted in their deaths. As a direct, proximate, and legal result of Netflix's tortious acts of targeting dangerous materials at vulnerable populations, Netflix caused decedents' deaths.
- 80. As a direct, legal, and proximate result of Netflix's negligent and intentional acts and omissions, aforementioned Plaintiffs have suffered a loss of love, companionship, comfort, affection, society, solace, training and/or moral support and are entitled to damages pursuant to Code of Civil Procedure § 377.60, et seq.

WHEREFORE, the aforementioned PLAINTIFFs demand judgment against Defendant Netflix and are entitled to recover wrongful death damages pursuant to California Code of Civil Procedure §377.61, including but not limited to, both economic and non-economic compensatory damages, such as: the loss of financial support the decedent would have contributed to the family, the loss of

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gifts or benefits plaintiff would have expected to receive from decedent, funeral and burial expenses, the reasonable value of household service decedent would have provided, as well as, a loss of love, companionship, comfort, affection, society, solace, training and/or moral support. To the extent permissible, declaratory relief is also sought.

# **Third Cause of Action Negligence**

- 81. As a direct, proximate, and legal result of Netflix's negligent and intentional acts and omissions, B and those similarly situated died. Netflix caused these deaths through its tortious, negligent, and/or reckless behaviors, including through the tortious targeting of vulnerable persons with the Show, manipulating their viewing behaviors, and without providing fair warning of the health risks associated with the Show. As a direct, proximate, and legal result of Netflix's failure to warn, decedents suffered injuries that resulted in their deaths. As a direct, proximate, and legal result of Netflix's tortious acts of targeting dangerous materials at vulnerable populations, Netflix caused decedents' deaths.
- 82. PLAINTIFFS, the Estate of decedent I "B" "H" and decedent's surviving father, John Herndon, hereby repeat and reallege the paragraphs alleged above, on behalf of themselves and all others similarly situated. These allegations expressly include the clarifications about what is not the bases of these claims. See  $\P$  12-21.
- 83. Defendant Netflix negligently, carelessly, and/or recklessly failed to warn of the health risks associated with viewing the Show. Such health risks had been brought to Netflix's attention prior to the Show's release. The foreseeable health risks of such behavior have been extensively documented by the medical, scientific, and suicide-prevention communities. Nevertheless, Netflix did not provide adequate or reasonable warnings of the health risks associated with viewing the Show.
- 84. Defendant Netflix negligently, carelessly, and/or recklessly specifically targeted the show to vulnerable populations, including decedent B H and those similarly situated.

- 85. Defendants Netflix's negligent, carless, and/or reckless conduct and omissions caused and/or significantly contributed to the death of decedent B H and those similarly situated.
- 86. As a direct and legal result of the said wrongful conduct and/or omissions of Defendant Netflix, Plaintiffs suffered substantial harm.

WHEREFORE, PLAINTIFFS demand judgment against DEFENDANT Netflix for whatever for whatever amount to be determined by a jury after trial, including but not limited to punitive damages, economic compensatory damages, and/or non-economic compensatory damages. To the extent permissible, declaratory relief is also sought.

# VII. DEMAND FOR TRIAL BY JURY

87. Plaintiffs hereby demand a trial by jury of all issues so triable.

1	DATED: A	Doggoodfaller galamitte 1
1	DATED: April 30, 2021	Respectfully submitted,
2		/s/ Ryan Hamilton
3		Ryan Hamilton (Bar No. 291349) HAMILTON LAW LLC
4		5125 South Durango, Suite C
_		Las Vegas, Nevada 89113
5		(702) 818-1818 ryan@hamlegal.com
6		
7		Gregory Keenan ( <i>pro hac vice</i> forthcoming) DIGITAL JUSTICE FOUNDATION
8		81 Stewart Street
		Floral Park, New York 11001
9		(516) 633-2633
10		gregory@digitaljusticefoundation.org
11		Andrew Grimm (pro hac vice forthcoming)
		DIGITAL JUSTICE FOUNDATION
12		15287 Pepperwood Drive Omaha, Nebraska 68154
13		(531) 210-2381
14		andrew@digitaljusticefoundation.org
		Rory Stevens ( <i>pro hac vice</i> forthcoming)
15		Law Office of Rory L. Stevens
16		4303 Southwest Cambridge Street
17		Seattle, Washington 98136
17		(206) 850-4444 rorylawstevensesq@gmail.com
18		101 yławstevensesq@gman.com
19		Megan Verrips (pro hac vice forthcoming)
20		INFORMATION DIGNITY ALLIANCE
20		P.O. Box 8684 101 Southwest Madison Street
21		Portland, Oregon 97207
22		(925) 330-0359
		megan@informationdignityalliance.org
23		James D. Banker (Bar No. 317242)
24		DIGITAL JUSTICE FOUNDATION
25		701 Pennsylvania Avenue Northwest, Apt. 1003 Washington, District of Columbia 20004
		(714) 722-5658
26		jimbanker@gmail.com
27		Augustin Com Di indi Co
28		Attorneys for Plaintiffs
		- 24 -
		Complaint

# Case 5:21-cv-06561 Document 3-1 Filed 08/25/21 Page 26 of 110

on 6/25/2021 11:43 AM

Reviewed By: R. Nguyen

Envelope: 6724954

	CM-020
ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, State Bar number, and address): Ryan A. Hamilton, Esq.  — California Bar No. 291349 5125 South Durango Drive, Suite C  Las Vegas, Nevada 89113  TELEPHONE NO.: (702) 818-1818 FAX NO. (Optional): (702) 974-1139  E-MAIL ADDRESS (Optional): Ryan@HamLegal.com ATTORNEY FOR (Name): The Estate of "Hamiltonia" Hamiltonia Superior Court of California, county of Santa Clara  STREET ADDRESS: 191 North First Street  MAILING ADDRESS: CITY AND ZIP CODE: San Jose 95113  BRANCH NAME: Downtown Superior Court  PLAINTIFF/PETITIONER: The Estate of "Barillong" Hamiltonia Superior Court  DEFENDANT/RESPONDENT: Netflix, Inc.	Filed June 25, 2021 Clerk of the Court Superior Court of CA County of Santa Clara 21CV382518 By: RNguyen
EX PARTE APPLICATION FOR EXTENSION OF TIME TO SERVE PLEADING AND ORDER EXTENDING TIME TO SERVE AND ORDER CONTINUING CASE MANAGEMENT CONFERENCE	CASE NUMBER: 21CV382518
Note: This ex parte application will be considered without a personal appearance. (See Cal. Rules of Court, rule 3.1207(2).)  1. Applicant (name): The Estate of "Button" H	HEARING DATE:  DEPT.: 2 TIME:
is a.	pleading:
4. Service and filing of the pleading listed in item 3 is presently required to be completed by	,
<ol> <li>Previous applications, orders, or stipulations for an extension of time to serve and file in the a.  None</li> <li>The following (describe all, including the length of any previous extensions):</li> </ol>	nis action are:
<ol> <li>Applicant requests an extension of time to serve and file the pleading listed in item 3 on the Netflix, Inc.</li> </ol>	ne following parties (name each):

	CM-020
CASE NAME:	CASE NUMBER:
The Estate of "B" "H" "H"	21CV382518
7. The pleading has not yet been filed and served on the parties lister been made to serve the pleading and why service has not been co	d in item 6 for the following reasons (describe the efforts that have empleted):
The clerk notified us that there have been significant d Pandemic. Plaintiff is awaiting issuance of the Summo	
<ul><li>Continued on Attachment 7.</li><li>8. An extension of time to serve and file the pleading should be grant</li></ul>	ted for the following reasons:
Plaintiff requires additional time because the Summon e-filing system, Plaintiff was forced to file the Compla Notice. Plaintiff has e-filed the Summons, requesting to not been issued and the deadline for service of process the issued Summons, Plaintiff will serve Defendant wi	int alone, without the Summons and Civil Lawsuit hat it be issued. To date, however, the Summons has is fast approaching (June 29, 2021). Upon receipt of
Continued on Attachment 8.	
<ul> <li>9. If an extension of time is granted, filling and service on the parties I Plaintiff does not know when the Summons will be iss sixty (60) days, to and including August 30, 2021.</li> <li>10. Notice of this application under rules 3.1200-3.1207 has be</li> </ul>	ued. Out of an abundance of caution, Plaintiff requests
notice was given; the date, time, and manner of giving notice; wh whether opposition is expected) or vis not required (state rea	at the parties or counsel were told and their responses; and
No counsel has appeared on behalf of Defendant.	
Continued on Attachment 10.   11. Number of pages attached: $0$ I declare under penalty of perjury under the laws of the State of Califo Date: $06/24/2021$	rnia that the foregoing is true and correct.
Ryan A. Hamilton, Esq.  (TYPE OR PRINT NAME OF APPLICANT OR ATTORNEY FOR APPLICANT)	(SIGNATURE OF APPLICANT OR ATTORNE FOR APPLICANT)
Order on Application is below on a separate docum	nent.
ORDEF	र
<ol> <li>The application for an order extending time to serve and file the ple</li> <li>The pleading must be served and filed no later than (date): Augustia.</li> <li>The case management conference is rescheduled to:</li> </ol>	
a. Date:	
b. Time:	
c. Place: 4. Other orders:	
5. A copy of this application and order must be served on all parties o	
Date: June 25, 2021	Signed: 6/25/2021 12:32 PM  Du Tolandi
	JUDICIAL OFFICER Drew Takaichi

SUMMONS				
(CITACION JUDICIAL)				

NOTICE TO DEFENDANT: (AVISO AL DEMANDADO):

Netflix, Inc.

6/29/2021 9:01 AM Clerk of Court Superior Court of CA, County of Santa Clara 21CV382518 YOU ARE BEING SUED BY PLAINTIFF: Reviewed By: A. Rodriguez (LO ESTÁ DEM<u>ANDANDO</u> E<u>I</u> Envelope: 6743842 John Herndon, J The Estate of I a minor. a minor, T

NOTICE! You have been sued. The court may decide against you without your being heard unless you respond within 30 days. Read the information

You have 30 CALENDAR DAYS after this summons and legal papers are served on you to file a written response at this court and have a copy served on the plaintiff. A letter or phone call will not protect you. Your written response must be in proper legal form if you want the court to hear your case. There may be a court form that you can use for your response. You can find these court forms and more information at the California Courts Online Self-Help Center (www.courtinfo.ca.gov/selfhelp), your county law library, or the courthouse nearest you. If you cannot pay the filing fee, ask the court clerk for a fee waiver form. If you do not file your response on time, you may lose the case by default, and your wages, money, and property may be taken without further warning from the court.

There are other legal requirements. You may want to call an attorney right away. If you do not know an attorney, you may want to call an attorney referral service. If you cannot afford an attorney, you may be eligible for free legal services from a nonprofit legal services program. You can locate these nonprofit groups at the California Legal Services Web site (www.lawhelpcalifornia.org), the California Courts Online Self-Help Center (www.courtinfo.ca.gov/selfhelp), or by contacting your local court or county bar association. NOTE: The court has a statutory lien for waived fees and costs on any settlement or arbitration award of \$10,000 or more in a civil case. The court's lien must be paid before the court will dismiss the case. ¡AVISO! Lo han demandado. Si no responde dentro de 30 días, la corte puede decidir en su contra sin escuchar su versión. Lea la información a

Tiene 30 DÍAS DE CALENDARIO después de que le entreguen esta citación y papeles legales para presentar una respuesta por escrito en esta corte y hacer que se entregue una copia al demandante. Una carta o una llamada telefónica no lo protegen. Su respuesta por escrito tiene que estar en formato legal correcto si desea que procesen su caso en la corte. Es posible que haya un formulario que usted pueda usar para su respuesta. Puede encontrar estos formularios de la corte y más información en el Centro de Ayuda de las Cortes de California (www.sucorte.ca.gov), en la biblioteca de leyes de su condado o en la corte que le quede más cerca. Si no puede pagar la cuota de presentación, pida al secretario de la corte que le dé un formulario de exención de pago de cuotas. Si no presenta su respuesta a tiempo, puede perder el caso por incumplimiento y la corte le podrá quitar su sueldo, dínero y bienes sin más advertencia.

Hay otros requisitos legales. Es recomendable que llame a un abogado inmediatamente. Si no conoce a un abogado, puede llamar a un servicio de remisión a abogados. Si no puede pagar a un abogado, es posible que cumpla con los requisitos para obtener servicios legales gratuitos de un programa de servicios legales sin fines de lucro. Puede encontrar estos grupos sin fines de lucro en el sitio web de California Legal Services. (www.lawhelpcalifornia.org), en el Centro de Ayuda de las Cortes de California, (www.sucorte.ca.gov) o poniéndose en contacto con la corte o el colegio de abogados locales. AVISO: Por ley, la corte tiene derecho a reclamar las cuotas y los costos exentos por imponer un gravamen sobre cualquier recuperación de \$10,000 ó más de valor recibida mediante un acuerdo o una concesión de arbitraje en un caso de derecho civil, Tiene que pagar el gravamen de la corte antes de que la corte pueda desechar el caso.

The name and address of the court is:

(El nombre y dirección de la corte es): Superior Court of California, County of Santa Clara, 191 North First Street, San Jose, CA 95113

CASE NUMBER: (Número del Caso): 21CV382518

The name, address, and telephone number of plaintiffs attorney, or plaintiff without an attorney, is: (El nombre, la dirección y el número de teléfono del abogado del demandante, o del demandante que no tiene abogado, es):

Ryan A. Hamilton, Esq., 5125 South Durango Drive, Suite C, Las Vegas, Nevada 89113

DATE: June 22, 20216/29/2021 9:01 AM (Fecha)

Clerk of Courterk, by

A. Rodriguez

, Deputy (Adjunto)

SUM-100

E-FILE GOLD PARA USO DE LA CORTE)

(For proof of service of this summons, use Proof of Service of Summons (form POS-010).)

(Para prueba de entrega de esta citatión use el formulario Proof of Service of Summons, (POS-010)).

[SEAL]	SEAL OF THE	
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THE		E OF
13		
1	CALIFORNIA	

NO	NOTICE TO THE PERSON SERVED: You are served					
1.	as an individual defendant,					
2.	as the person sued under the fictitious name of (s	specify):				
3.	x on behalf of (specify): Netflix, Inc.					
	under: x CCP 416.10 (corporation)	CCP 416.60 (minor)				
	CCP 416.20 (defunct corporation)	CCP 416.70 (conservatee)				
	CCP 416.40 (association or partnership)	CCP 416.90 (authorized person)				
	other (specify):					
4.	by personal delivery on (date):	Down 1				

# Case 5:21-cv-06561 Document 3-1 Filed 08/25/21 Page 29 of 110

# SUPERIOR COURT OF CALIFORNIA, COUNTY OF SANTA CLARA 191 N. FIRST STREET

SAN JOSE, CA 95113-1090

July 2, 2021

**FILED** 

Clerk of The Court Superior Court of CA County of Santa Clara

21CV382518 By: rwalker

**Envelope #6787860** 

RE: The Estate of I Bear Hanney, et al. v. Netflix, Inc.

21CV382518 CASE NUMBER:

FILE COPY

TO:

# ORDER AND NOTICE OF REASSIGNMENT OF CASE

A review of the above-referenced matter has determined that the Complaint was filed as a proposed class action. Accordingly, reassignment to the Complex Division is appropriate and this matter shall be, and is, reassigned for all purposes, including discovery, law & motion, settlement conference, and trial, to Department 1 (Complex Civil Litigation), the HONORABLE SUNIL R. KULKARNI presiding.

The Case Management Conference is reset from September 7, 2021 to September 9, 2021 at 2:30 p.m. in Department 1.

Please contact the Complex Civil Litigation Department, (408) 882-2286, if you have any questions.

Date Issued: July 2, 2021

Honorable Beth McGowen Civil Supervising Judge

If you, a party represented by you, or a witness to be called on behalf of that party need an accommodation under the American with Disabilities Act, please contact the Court Administrator's office at (408) 882-2700, or use the Court's TDD line, (408) 882-2690 or the Voice/TDD California Relay Service, (800) 735-2922.

# Case 5:21-cv-06561 Document 3-1 Filed 08/25/21 Page 30 of 110

# SUPERIOR COURT OF CALIFORNIA, COUNTY OF SANTA CLARA 191 N. FIRST STREET

SAN JOSE, CA 95113-1090 Electronically Filed

by Superior Court of CA, County of Santa Clara, on 7/7/2021 12:54 PM Reviewed By: R. Walker

TO: FILE COPY

RE: The Estate of I "B " H , et al. v. Netflix, Inc.

CASE NUMBER: 21CV382518 Case #21CV382518

Envelope: 6795313

# ORDER DEEMING CASE COMPLEX AND STAYING DISCOVERY AND RESPONSIVE PLEADING DEADLINE

#### IT IS HEREBY ORDERED that:

The Court determines that the above-referenced case is **COMPLEX** within the meaning of California Rules of Court 3.400. The matter remains assigned, for all purposes, including discovery and trial, to Department 1 (Complex Civil Litigation), the **Honorable Sunil R. Kulkarni** presiding.

The parties are directed to the Court's local rules and guidelines regarding electronic filing and to the Complex Civil Guidelines, which are available on the Court's website.

Pursuant to California Rules of Court, Rule 3.254, the creation and maintenance of the Master Service List shall be under the auspices of (1) Plaintiff THE ESTATE OF \*\*BESTATE\*\* "BESTATE\*\* OF \*\*BESTATE\*\* "BESTATE\*\* "BEST

Pursuant to Government Code section 70616(c), each party's complex case fee is due within ten (10) calendar days of this date.

Plaintiff shall serve a copy of this Order on all parties forthwith and file a proof of service within seven (7) days of service.

Any party objecting to the complex designation must file an objection and proof of service within ten (10) days of service of this Order. Any response to the objection must be filed within seven (7) days of service of the objection. The Court will make its ruling on the submitted pleadings.

The Case Management Conference remains set for <u>September 9, 2021 at 2:30 p.m. in Department 1</u> and all counsel are ordered to attend by <u>CourtCall</u>.

Counsel for all parties are ordered to meet and confer in person at least 15 days prior to the First Case Management Conference and discuss the following issues:

- 1. Issues related to recusal or disqualification;
- 2. Issues of law that, if considered by the Court, may simplify or further resolution of the case, including issues regarding choice of law;
- 3. Appropriate alternative dispute resolution (ADR), for example, mediation, mandatory settlement conference, arbitration, mini-trial;
- 4. A plan for preservation of evidence and a uniform system for identification of documents throughout the course of this litigation;
- 5. A plan for document disclosure/production and additional discovery; which will generally be conducted under court supervision and by court order;

- 6. Whether it is advisable to address discovery in phases so that information needed to conduct meaningful ADR is obtained early in the case (counsel should consider whether they will stipulated to limited merits discovery in advance of certification proceedings), allowing the option to complete discovery if ADR efforts are unsuccessful;
- 7. Any issues involving the protection of evidence and confidentiality;
- 8. The handling of any potential publicity issues;

Counsel for Plaintiff is to take the lead in preparing a Joint Case Management Conference Statement to be filed 5 calendar days prior to the First Case Management Conference, and include the following:

- 1. a brief objective summary of the case;
- 2. a summary of any orders from prior case management conferences and the progress of the parties' compliance with said orders;
- 3. significant procedural and practical problems that may likely be encountered;
- 4. suggestions for efficient management, including a proposed timeline of key events; and
- 5. any other special consideration to assist the court in determining an effective case management plan.

To the extent the parties are unable to agree on the matters to be addressed in the Joint Case Management Conference Statement, the positions of each party or of various parties should be set forth separately and attached to this report as addenda. The parties are encouraged to propose, either jointly or separately, any approaches to case management they believe will promote the fair and efficient handling of this case. The Court is particularly interested in identifying potentially dispositive or significant threshold issues the early resolution of which may assist in moving the case toward effective ADR and/or a final disposition.

STAY ON DISCOVERY AND RESPONSIVE PLEADING DEADLINE Pending further order of this Court, the service of discovery and the obligation to respond to any outstanding discovery is stayed. However, Defendant(s) shall file a Notice of Appearance for purposes of identification of counsel and preparation of a service list. The filing of such a Notice of Appearance shall be without prejudice to the later filing of a motion to quash to contest jurisdiction. Parties shall not file or serve responsive pleadings, including answers to the complaint, motions to strike, demurrers, motions for change of venue and cross-complaints until a date is set at the First Case Management Conference for such filings and hearings.

This Order is issued to assist the Court and the parties in the management of this "Complex" case through the development of an orderly schedule for briefing and hearings. This Order shall not preclude the parties from continuing to informally exchange documents that may assist in their initial evaluation of the issues presented in this Case.

Plaintiff shall serve a copy of this Order on all the parties in this matter forthwith.

SO ORDERED.

Date: July 7, 2021

Hon. **Sunil R. Kulkarni**Judge of the Superior Court

If you, a party represented by you, or a witness to be called on behalf of that party need an accommodation under the American with Disabilities Act, please contact the Court Administrator's office at (408) 882-2700, or use the Court's TDD line, (408) 882-2690 or the Voice/TDD California Relay Service, (800) 735-2922.

----

		POS-015
ATTORNEY OR PARTY WITHOUT ATTORNEY:	STATE BAR NO: 291349	FOR COURT USE ONLY
NAME: Ryan A. Hamilton, Esq.		
FIRM NAME: Hamilton Law street address: 5125 South Durango Dri	ve Suite C	
city: Las Vegas	STATE: NV ZIP CODE: 89113	
TELEPHONE NO.: (702) 818-1818	FAX NO.: (702) 974-1139	
E-MAIL ADDRESS: Ryan@HamLegal.com	loha Horadon (************************************	
ATTORNEY FOR (Name): The Estate of Is	"Hanne, John Herndon, James "Manne" H	<u> </u>
SUPERIOR COURT OF CALIFORNIA, COL	INTY OF Santa Clara	
STREET ADDRESS: 191 North First Street MAILING ADDRESS:		
CITY AND ZIP CODE: San Jose, CA 95113		
BRANCH NAME: Downtown Superior Cou	rt (DTS)	
Plaintiff/Petitioner: The Estate of	"Base" Harris, John Herndon, James "Masses" H	
Defendant/Respondent: Netflix, Inc.	•	
		CASE NUMBER:
NOTICE AND ACKNOW	LEDGMENT OF RECEIPT—CIVIL	21CV382518
TO (insert name of party being served):	C T Corporation System	
The state of the s	o i corporation dystem	
	NOTICE	
		o section 415.30 of the California Code of Civil
1		e date of mailing shown below may subject you
on you in any other manner permitted		any expenses incurred in serving a summons
, ,	•	(including a partnership), or other entity, this
		to receive service of process on behalf of such
		on authorized by you to acknowledge receipt of
	ne sender, service of a summons is deemed	complete on the day you sign the
acknowledgment of receipt below.		
luly 0 2021		
Date of mailing: July 9, 2021		
	. (	) 11 /
Ryan A. Ham	ilton /	malleting
(TYPE OR PRINT N	AME)	GNATURE OF SENDER MUST NOT BE A PARTY IN THIS CASE)
	ACKNOWLEDGMENT OF RECE	!PT
This acknowledges receipt of (to be con	npleted by sender before mailing):	
1. X A copy of the summons and of	the complaint.	
2. X Other (specify): Order Deeming Case (	Complex and Staving Discovery	and Responsive Pleading Deadline
Order and Notice of Ro	eassignment of Case. Civil Laws	and Responsive Pleading Deadline, suit Notice
0,40, 4,14,1,0,100 0, 1,	5455.g5 5. 5455, 5 24	
·		
(To be completed by recipient):	•	
Date this form is signed:		
	<b>\</b>	
(TYPE OR PRINT YOUR NAME AND NAM	E OF ENTITY IF ANY	TURE OF PERSON ACKNOWLEDGING RECEIPT, WITH TITLE IF
ON WHOSE BEHALF THIS FOR		DOME OF PERSON ACKNOWLEDGING RECEIPT, WITH TITLE IF

Page 1 of 1

# Case 5:21-cv-06561 Document 3-1 Filed 08/25/21 Page 33 of 110

Santa Clara - Civil

#### ATTACHMENT CV-5012 driguez

Page 1 of 1

# CIVIL LAWSUIT NOTICE

Superior Court of California, County of Santa Clara 191 North First St., San José, CA 95113

	21CV38	2518			
CASE NUMBER:					

# PLEASE READ THIS ENTIRE FORM

<u>PLAINTIFF</u> (the person suing): Within 60 days after filing the lawsuit, you must serve each Defendant with the *Complaint*, *Summons*, an *Alternative Dispute Resolution (ADR) Information Sheet*, and a copy of this *Civil Lawsuit Notice*, and you must file written proof of such service.

# **<u>DEFENDANT</u>** (The person sued): You must do each of the following to protect your rights:

- 1. You must file a **written response** to the *Complaint, using the proper legal form or format,* in the Clerk's Office of the Court, within **30 days** of the date you were served with the *Summons* and *Complaint*;
- 2. You must serve by mail a copy of your written response on the Plaintiff's attorney or on the Plaintiff if Plaintiff has no attorney (to "serve by mail" means to have an adult other than yourself mail a copy); and
- 3. You must attend the first Case Management Conference.

Warning: If you, as the Defendant, do not follow these instructions, you may automatically lose this case.

<u>RULES AND FORMS:</u> You must follow the California Rules of Court and the Superior Court of California, County of <\_CountyName\_> Local Civil Rules and use proper forms. You can obtain legal information, view the rules and receive forms, free of charge, from the Self-Help Center at 201 North First Street, San José (408-882-2900 x-2926).

- State Rules and Judicial Council Forms: www.courtinfo.ca.gov/forms and www.courtinfo.ca.gov/rules
- Local Rules and Forms: http://www.sccsuperiorcourt.org/civil/rule1toc.htm

<u>CASE MANAGEMENT CONFERENCE (CMC):</u> You must meet with the other parties and discuss the case, in person or by telephone at least 30 calendar days before the CMC. You must also fill out, file and serve a Case Management Statement (Judicial Council form CM-110) at least 15 calendar days before the CMC.

You or your attorney must appear at the CMC. You may ask to appear by telephone – see Local Civil Rule 8.

Your Case Management Judge is:	akaichi, Drew C	Department:	2
The 1st CMC is scheduled for: (Completed	by Clerk of Court)		
Date:9/7/2021	Time:	in Department:	2
The next CMC is scheduled for: (Complet	ed by party if the 1st CMC v	vas continued or has passed)	
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<u>ALTERNATIVE DISPUTE RESOLUTION (ADR)</u>: If all parties have appeared and filed a completed *ADR Stipulation Form* (local form CV-5008) at least 15 days before the CMC, the Court will cancel the CMC and mail notice of an ADR Status Conference. Visit the Court's website at <a href="www.sccsuperiorcourt.org/civil/ADR/">www.sccsuperiorcourt.org/civil/ADR/</a> or call the ADR Administrator (408-882-2100 x-2530) for a list of ADR providers and their qualifications, services, and fees.

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CV-5012 REV 08/01/16 CIVIL LAWSUIT NOTICE

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(CITACION JUDICIAL)	· · · · · · · · · · · · · · · · · · ·
NOTICE TO DEFENDANT	6/29/2021 9:01 AM
NOTICE TO DEFENDANT: (AVISO AL DEMANDADO):	Clerk of Court
Netflix, Inc.	Superior Court of CA,
Acting, inc.	County of Santa Clara
YOU ARE BEING SUED BY PLAINTIFF:	21CV382518
(LO ESTÁ DEMANDANDO EL DEMANDANTE):	Reviewed By: A. Rodriguez
The Estate of Indiana, "Batta" Hamman, John Herndon, James Managar, a minor, Take Patrick Hamman, a minor.	■ Envelope: 6743842
"Medical" Handley, a minor, Take Patting Handley, a minor.  NOTICE! You have been sued. The court may decide against you without your being heard unlikelow.	ess you respond within 30 days. Read the information
You have 30 CALENDAR DAYS after this summons and legal papers are served on you to fi served on the plaintiff. A letter or phone call will not protect you. Your written response must be case. There may be a court form that you can use for your response. You can find these court fonline Self-Help Center (www.courtinfo.ca.gov/selfhelp), your county law library, or the court clerk for a fee waiver form. If you do not file your response on time, you may lose the case be taken without further warning from the court.	in proper legal form if you want the court to hear your forms and more information at the California Courts use nearest you. If you cannot pay the filing fee, ask the by default, and your wages, money, and property may
There are other legal requirements. You may want to call an attorney right away. If you do not referral service. If you cannot afford an attorney, you may be eligible for free legal services from these nonprofit groups at the California Legal Services Web site (www.lawhelpcalifornia.org), th (www.courtinfo.ca.gov/selfhelp), or by contacting your local court or county bar association. NO costs on any settlement or arbitration award of \$10,000 or more in a civil case. The court's lien if AVISO! Lo han demandado. Si no responde dentro de 30 dlas, la corte puede decidir en su continuación.	a a nonprofit legal services program. You can locate be California Courts Online Self-Help Center TE: The court has a statutory lien for waived fees and must be paid before the court will dismiss the case.
Tiene 30 DÍAS DE CALENDARIO después de que le entreguen esta citación y papeles legal corte y hacer que se entregue una copia al demandante. Una carta o una llamada telefónica no en formato legal correcto si desea que procesen su caso en la corte. Es posible que haya un for Puede encontrar estos formularios de la corte y más información en el Centro de Ayuda de las biblioteca de leyes de su condado o en la corte que le quede más cerca. Si no puede pagar la le dé un formulario de exención de pago de cuotas. Si no presenta su respuesta a tiempo, pued quitar su sueldo, dinero y bienes sin más advertencia.  Hay otros requisitos legales. Es recomendable que llame a un abogado inmediatamente. Si remisión a abogados. Si no puede pagar a un abogado, es posible que cumpla con los requisito programa de servicios legales sin fines de lucro. Puede encontrar estos grupos sin fines de lucro.	o lo protegen. Su respuesta por escrito tiene que estar irmulario que usted pueda usar para su respuesta. Cortes de California (www.sucorte.ca.gov), en la cuota de presentación, pida al secretario de la corte que de perder el caso por incumplimiento y la corte le podrá no conoce a un abogado, puede llamar a un servicio de os para obtener servicios legales gratuitos de un
(www.lawhelpcalifornia.org), en el Centro de Ayuda de las Cortes de California, (www.sucorte.colegio de abogados locales. AVISO: Por ley, la corte tiene derecho a reclamar las cuotas y los cualquier recuperación de \$10,000 ó más de valor recibida mediante un acuerdo o una concesi pagar el gravamen de la corte antes de que la corte pueda desechar el caso.	a.gov) o poniéndose en contacto con la corte o el costos exentos por imponer un gravamen sobre
The name and address of the court is:	CASE NUMBER: (Número del Caso):
(El nombre y dirección de la corte es): Superior Court of California, County of Santa	21CV382518
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The name, address, and telephone number of plaintiff's attorney, or plaintiff without ar de teléfono del abogado del demandante, o del demandante que no tiene abogado, e	
Ryan A. Hamilton, Esq., 5125 South Durango Drive, Suite C, Las Vegas, Nevada 891	
DATE: (Fecha) June 22, 202-6/29/2021 9:01 AM (Secreta	rio) (Adjunto)
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NOTICE TO THE PERSON SERVED. YOU are serve	•
[SEAL] 1. as an individual defendant.	
2. as the person sued under the fictitious nan	ne of (specify):
3. x on behalf of (specify): Netflix, Inc.	
	CCB 416 60 (minor)
under: x CCP 416.10 (corporation)  CCP 416.20 (defunct corporation)	CCP 416.60 (minor) CCP 416.70 (conservatee)
CCP 416.40 (association or partner	
other (specify):	.,

4. \_\_\_\_ by personal delivery on (date):

E-FILED 4/30/2021 11:59 PM Clerk of Court Gregory Keenan (pro hac vice forthcoming) Superior Court of CA, DIGITAL JUSTICE FOUNDATION County of Santa Clara 81 Stewart Street 21CV382518 Floral Park, New York 11001 Reviewed By: Y. Chavez 3 (516) 633-2633 gregory@digitaljusticefoundation.org 4 Andrew Grimm (pro hac vice forthcoming) DIGITAL JUSTICE FOUNDATION 15287 Pepperwood Drive Omaha, Nebraska 68154 (531) 210-2381 andrew@digitaljusticefoundation.org 9 Ryan Hamilton (SBN 291349) HAMILTON LAW LLC 10 5125 South Durango, Suite C Las Vegas, Nevada 89113 11 (702) 818-1818 ryan@hamlegal.com 12 13 Attorneys for Plaintiffs<sup>1</sup> 14 IN THE SUPERIOR COURT OF CALIFORNIA 15 FOR THE COUNTY OF SANTA CLARA 16 **CIVIL DIVISION** 17 21CV382518 18 Case No.: THE ESTATE OF I , John Herndon, J **CLASS ACTION** 19 , a minor, T Complaint for , a minor, 20 • Failure to Adequately Warn, on behalf of themselves and all others similarly 21 Wrongful Death, and situated. Negligence. 22 Plaintiffs, [Jury Trial Demanded] 23 24 NETFLIX INC., Defendant. 25 26 27 28 Additional counsel are listed on the following page. -1-

Complaint

# Case 5:21-cv-06561 Document 3-1 Filed 08/25/21 Page 36 of 110

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	Complaint

Plaintiffs—the Estate of I "B" "H" and natural persons John Herndon, J "M" "H" "H" and natural persons John Herndon, J "B" "H" "H" "A minor—on behalf of themselves and on behalf of all others similarly situated, hereby make class-action allegations as follows:

#### I. NATURE OF THE CASE

- 1. In April 2017, child suicides spiked. This wave of suicides came as a surprise to most. Teachers, politicians, journalists, hospital staff, psychiatric experts, suicide-prevention advocates, and, most of all, heartbroken families of the victims themselves were all shocked as the number of child deaths mounted.
- 2. But these suicides were not entirely unforeseen. One entity had been made aware that these deaths could and would assuredly happen if it did not change its course of action: Defendant Netflix Inc. and its pertinent subsidiaries (collectively "Netflix").
- 3. Netflix should have been able to foresee this spike in child suicides because its tortious actions and omissions caused these deaths and it was warned in advance. Yet Netflix proceeded anyway, prioritizing its own strategy goals of market dominance in the youth demographic over the lives and well-being of vulnerable populations it knew would suffer—and die—if it did not provide greater warnings and take reasonable, common-sense steps to avoid using its data in a reckless manner that harmed children.
- 4. In March of 2017, Netflix released a show, <u>Thirteen Reasons Why</u> ("Show") on its streaming service. Before that, however, it had been warned by experts backed by decades of empirical research that child suicides and other profound psychological harm would occur if impressionable youths were targeted and not warned of the health risks inherent in viewing the Show.
- 5. Netflix had been put on notice of the risk and concrete prospects of serious, irreparable harm that its Show posed to the most vulnerable of viewers: children. Yet Netflix failed to take reasonable, appropriate, and commonsensical cautionary measures. It failed to warn of known harms and health risks—the very risks that it had been warned about ahead of time. Instead, it used its sophisticated, targeted recommendation systems to push the Show on unsuspecting and vulnerable children, using its cutting-edge technology.

- 6. As children began to die, the experts started to piece the tragedies together. For example, years after the Show's release, the National Institute of Mental Health associated the 28.9% increase in the child-suicide rate during the month of April 2017 with Netflix's Show—a child-suicide spike that could have been avoided had Netflix taken basic moral responsibilities to warn and to not target its most vulnerable viewers.
- 7. Yet, even after empirical researchers repeatedly identified the profound human cost of Netflix's decisions, Netflix still did not meaningfully warn about the dangers of its Show, and did not moderate its algorithms to avoid targeting vulnerable children. Instead, Netflix dug its heels in for years, choosing a path of callous resistance to the realities of hundreds of children whose deaths Netflix had tortiously caused.

#### II. PARTIES

- 9. **Defendant.** Netflix is a corporate entity domiciled and at-home in the State of California.

  Netflix's tortious acts and omissions caused, or at least substantially contributed to, Barris suicide and substantial harms, including death, to many other children.

#### III. JURISDICTION & VENUE

- 10. **Jurisdiction.** This action arises under California causes of action. This Court has subject-matter jurisdiction. (See Code Civ. Proc. § 410.10.) Netflix maintains its principal place of business in Los Gatos, California. Netflix also maintains systemic, continuous and substantial contacts with California consumers in the form of offering membership subscriptions to its content-streaming service. Netflix's activities in California are and were highly interactive, systemic and continuous so as to support a finding of general, all-purpose jurisdiction in this Court. (See Code Civ. Pro. § 410.10.)
- 11. Venue. Netflix's principal office is in Los Gatos, California, in Santa Clara County and, on information and belief, substantially all of the tortious acts occurred there. Thus, this Court is a proper venue. (See Code Civ. Pro § 395, subd. b.)

#### IV. STATEMENT OF FACTS

- A. After the novel <u>Thirteen Reasons Why</u> was published, Netflix adapted it into a startingly graphic streaming show.
- 12. In October 2007, Jay Asher's novel <u>Thirteen Reasons Why</u> ("Novel") was published. The Novel takes readers through transcripts of fictional audiotapes recorded by its main character, Hannah Baker, before her suicide. Each of the Novel's thirteen fictional transcripts gives an anecdote addressed to another character who Baker partially blamed for causing her suicide. The Novel was a hit, making the New York Times' young-adult best-seller list a few times. (Rich, <u>A Story of a Teenager's Suicide Quietly Becomes a Best Seller</u>, The New York Times (Mar. 9, 2009).)
- 13. Years later, Netflix purchased the rights for a television show that had been adapted from the Novel ("Show"). Part of the business case for adapting the Novel into the Show was that the Novel already had a "huge following" and "huge fan base" so the Show was expected to attract younger audiences. (Rochlin, <u>Selena Gomez (and Others) on Adapting 'Thirteen</u>

  Reasons Why' for Netflix, The New York Times (Mar. 22, 2017).)

- 14. As with the Novel, the Show features "broken friendships, a fatal auto accident" and "startlingly naturalistic depictions of rape and suicide." Yet Netflix's adaptation of the Novel into thirteen hours of streaming content made several significant changes. (Hale, Review: '13 Reasons Why' She Killed Herself, Drawn Out on Netflix, The New York Times (Mar. 30, 2017).)
- 15. One difference between the Novel and the Show is pacing. The Novel is quick-paced and, as a reviewer notes, "stylistically economical[.]" By contrast, the Show "demands that you listen to a suicide note for thirteen hours, while the suicide in question is built up as the grand climax[.]" (Tolentino, "13 Reasons Why" Makes a Smarmy Spectacle of Suicide, The New Yorker (May 10, 2017).)
- 16. Perhaps the most drastic difference between the Novel and the Show is how they depict the main character Hannah Baker's suicide:

[The Show's creators] decided to depict Hannah's suicide in "unflinching" detail." In the book, she swallows pills. In the show, she saws vertically at her forearms with razor blades, sobbing and screaming in an overflowing, pinkish tub.

(Tolentino, "13 Reasons Why" Makes a Smarmy Spectacle of Suicide, The New Yorker (May 10, 2017).)

- 17. Ultimately, Netflix removed this graphic, three-minute-long scene from the Show in July 2019 after years of public outcry that the scene "glorified suicide." (Watson, *Who has died in 13 Reasons Why?*, Express Online (June 12, 2020).)
- B. Netflix's widespread dissemination of its <u>Thirteen Reasons Why</u> Show was successful but concerning.
- 18. When it was released on Netflix's streaming platform in March 2017, the Show was a huge hit. It was especially popular with younger viewers, a key demographic in Netflix's sights as it was trying to maintain its streaming dominance.
- 19. Yet the Show's release was also marred by controversy. The positive buzz in some circles was stained by other views that the show glorified suicide and was morally irresponsible.

  (Gilbert, What Went Wrong With 13 Reasons Why?, The Atlantic (May 4, 2017).)

- 20. One major concern was that this unsuitable content was being "watched by young people on phones or laptops without the awareness of their parents." (Rosman, <u>Netflix Triggers Online Debate With a Show About Teen Suicide, '13 Reasons Why,</u>' The New York Times, Apr. 19, 2017).)
- 21. Nonetheless, the Show's broad exhibition was a cultural event. Twitter debates ignited. Parents were concerned. Teenagers imitated the Show in a variety of ways. Some painted their fingernails to imitate the Show. One high-school student recorded thirteen cassette tapes when asking a classmate to prom. (Rosman, *Netflix Triggers Online Debate With a Show About Teen Suicide, '13 Reasons Why*', The New York Times (Apr. 19, 2017).)
- C. Netflix is *not* being sued for its creation, dissemination, exhibition, advertisement, or other similar promotion of its Show, <u>Thirteen Reasons Why</u>.
- 22. The above allegations in paragraphs 12-21 are provided for background and context but are expressly *not* the basis of why Netflix is being sued.
- 23. Specifically, Netflix is *not* being sued because it created a Show of questionable morality that arguably glorifies teenage suicide. It is *not* being sued because it disseminated, *i.e.*, publicly broadcasted, the Show by offering it for public consumption. It is *not* being sued because it publicly exhibited this content, advertised it generally to the public, or similarly promoted it. Netflix is *not* being sued for its creation, dissemination, exhibition, advertisement, or similar promotion of its Show.
- 24. Rather, the bases of the claims against Netflix stem from something else: (1) Netflix's failure to adequately warn of its Show's, *i.e.*, its product's, dangerous features and (2) Netflix's use of its trove of individualized data about its users to specifically target vulnerable children and manipulate them into watching content that was deeply harmful to them—despite dire warnings about the likely and foreseeable consequences to such children. Both are detailed below.

- D. Experts warned Netflix in advance that its Show, <u>Thirteen Reasons Why</u>, would kill children but Netflix gave no adequate warning to viewers of this risk.
- 25. When the Show was in production, its creators consulted several mental-health professionals.
- 26. Contrary to the creators' unexamined hypothesis that depicting the ugliness and brutality of suicide would somehow deter teenage suicides, the consensus of suicide-prevention experts warns of just the opposite effect—the potential for suicide-contagion effects upon impressionable viewers. Depicting suicide as the Show does to children would likely result in deaths. Netflix was warned about this risk in advance but did not heed guidelines about how to warn of suicide-related content. (Gilbert, What Went Wrong With 13 Reasons Why?, The Atlantic (May 4, 2017).)
- 27. Specifically, Dr. Dan Reidenberg, the executive director of a nonprofit suicide-prevention organization, Suicide Awareness Voices of Education, reviewed the Show about a month or so before its release. Netflix had asked for Dr. Reidenberg's guidance. Dr. Reidenberg advised Netflix to cancel the release but was told by Netflix that it "wasn't an option." "They made that very clear to me," Dr. Reidenberg later told the press. (Eisenstadt, '13 Reasons Why' is a hit, but suicide expert told Netflix not to release series, Syracuse.com (Apr. 26, 2017).)
- 28. Dr. Reidenberg's concerns were not just about uncomfortable feelings and content. He was worried that the Show itself would *cause* suicides in impressionable children and lead to their deaths if they watched it. (Gilbert, *What Went Wrong With 13 Reasons Why?*, The Atlantic (May 4, 2017).)

29. Nor was Dr. Reidenberg a lone dissenting voice in the scientific community. Well before Netflix released the Show, it was well-known in the scientific community that depictions of suicide can themselves *cause* suicide in vulnerable populations:

Mental illness is not a communicable disease, but there's a strong body of evidence that suicide is still contagious. Publicity surrounding a suicide has been repeatedly and definitively linked to a subsequent increase in suicide, <u>especially among young people</u>.

(E.g., Sanger-Katz, <u>The Science Behind Suicide Contagion</u>, The New York Times (Aug. 13, 2014) (emphasis added).)

- 30. Netflix failed to warn of these health risks. Netflix included some advisories but these advisories have been woefully inadequate because they do not reasonably warn of the risk that the Show could cause suicide. Some of its advisories were only added a month after the Show's release—well after an anticipated millions of children had viewed the Show.

  (Andrews, Netflix's '13 Reasons Why' gets more trigger warnings. Critics say it glamorizes teen suicide, Washington Post (May 1, 2017).) To many experts, Netflix's advisories came as too little too late. (See Grunberger, '13 Reasons Why' warning is a start, experts say, but they want more, CNN (Apr. 5, 2018).)
- 31. Even as of the filing of this Complaint, none of Netflix's advisories meaningful warn that the Show itself could cause suicide. Instead, they use vague language that a reasonable person would think merely indicates mature subject matter, rather than a real risk of genuine harm.

32. As of today, the Show displays the following advisory before the beginning of the first season:

Hi, I'm Dylan Minette and I play Clay Jensen. I'm Katherine Langford and I play Hannah Baker. I'm Justin Prentice, I play Bryce Walker. I'm Alisha Boe, I play Jessica Davis.

Thirteen Reasons Why is a fictional series that tackles tough real-world issues taking a look at sexual assault, substance abuse, suicide and more. By shedding a light on these difficult topics, we hope our show can help viewers start a conversation. But if you are struggling with these issues yourself this series may not be right for you or you may want to watch it with a trusted adult.

And if you ever feel you need someone to talk with, reach out to a parent, a friend, a school counselor or an adult you trust call a local help line or go to 13ReasonsWhy.info. Because the minute you start talking about it, it gets easier.

Among other problems, this advisory does not warn that viewing the Show could itself cause suicide, suicidal ideation, etc.

- 33. Instead, it merely suggests that there are mature themes depicted and that the presence of a trusted adult might be desirable. There is no clear indication of the foreseeable harms, rather than a suggestion that the themes may be emotional or psychologically difficult.
- 34. Likewise, as of today, the Show's thirteenth episode displays a cursory advisory placard that reads as follows: "The following episode contains graphic depictions of suicide and violence, which some viewers may find disturbing. It is intended for mature audiences. Viewer discretion is advised." This generic language is insufficient to warn reasonable viewers that the episode is not merely mature-themed but that watching it could cause or contribute to suicide or suicidal ideations.
- 35. Worse, not all of these advisories existed at the time of the Show's release, when Netflix began targeting the Show to vulnerable users and populations. And, the fundamental problem is that these advisories fail to discuss the foreseeable risk of concrete harm to vulnerable persons. By comparison, prescription-drug labels warn of concrete risks of side effects. Cigarette-warning labels indicate risk of health effects from smoking cigarettes, not merely that "discretion is advised."

- 36. Here, without more express warnings, no reasonable person would be aware of the genuine and real health risks posed by the Show to vulnerable viewers. Without adequate warnings, Netflix did not permit its subscribers and families to make genuinely informed choices upfront about whether the Show's content is right for them, their family, or their children.
- 37. Moreover, experts were troubled that Netflix's content suggested that seeking help for suicidal ideation is fruitless and useless whereas committing suicide may be a source of individual agency. (Todd, *Here's What 7 Mental Health Experts Really Think About '13 Reasons Why.*' SELF (May 9, 2018).) Netflix failed to give any warning or advisory about how seeking help can improve outcomes and avoid significant self-harm or suicide. Thus, Netflix failed to warn that some of its themes would inhibit impressionable and vulnerable viewers from seeking professional help for their suicidal ideation.
- 38. Furthermore, Netflix's pre-season advisory is inadequate because it fails to indicate where the most dangerous content appears in the Show. The Show becomes dramatically more graphic over the course of its first season without another warning until episode nine. Thus, the warning at the beginning of the Show followed by comparatively tame episodes would leave a reasonable parent unaware and with no easy way to figure out where the most harmful content would be found and when and how to avoid that content.
- 39. Netflix failed to warn of the dangers of its Show in another way. Netflix gave no indication of any of the warning signs associated with a high risk for suicide. By no means did Netflix frame its advisories in a way that a vulnerable child or parent would have gleaned any further understanding of the psychological differences between an intense emotional reaction to disturbing content and dangerous signs of suicidal ideation.
- 40. To this day, Netflix gives no such meaningful warning that its content can cause suicides in vulnerable children. Netflix decided to give no serious warning that its content could kill, despite having been put on notice of this risk in advance of releasing its Show.

#### E. Netflix's failure to adequately warn harmed and caused the death of many children.

- 41. The tragic and significant costs of Netflix's decision not to adequately warn began to appear almost immediately after Netflix released the Show.
- 42. Without any meaningful warnings, families and children were largely unaware of the major health risks posed by watching the Show. They were not warned about an extremely dangerous product that was being targeted at their children.
- 43. At first, the indications of Netflix's role in the spike in child suicides was anecdotal. Then, scientists and empiricists started demonstrating empirically that widespread harm to children came from Netflix's inadequate warnings and targeting of vulnerable kids.
- 44. One alarming story came shortly after the Show's release. A school superintendent in Florida, reported that counselors, teachers, and principals reported over a dozen cases of very concerning behavior by children—a significant spike in "youth at-risk behavior <u>at the elementary and middle school levels</u> to include self-mutilation, threats of suicide, and multiple Baker Act incidents." (Strauss, <u>Schools superintendent: Students are harming themselves and citing '13 Reasons Why</u>, Washington Post (Apr. 29, 2017) (emphasis added).)
- 45. Such a result was not unforeseeable. As one leading psychiatric researcher stated: 
  "Research shows us that the more obvious, florid, dramatic, and explicit the portrayal is as disturbing as it is to most of us, there's the potential that for some people who see it, who are really struggling with something, this winds up being in some way strangely appealing."

  (Grady, Critics say 13 Reasons Why has artistic merit. Suicide prevention experts say it's dangerous, Vox.com (June 9, 2017).)
- 46. Empirical research followed. It confirmed what the educators, parents, and counselors were seeing on the ground. There was a significant spike in suicides in April 2017 following the Show's release without adequate warning and with significant targeting at children. The number of Internet searches for how to commit suicide spiked at the same time that fewer children were seeking help from crisis-suicide-prevention services that connect children to mental-health resources and help avoid suicide. (Thompson et al, *Crisis Text Line use*

- following the release of Netflix series 13 Reasons Why Season 1: Time-series analysis of help-seeking behavior in youth, 14 Preventive Medicine Reports (June 2019).)
- 47. Researchers also identified that the spike in hospital admissions at a children's hospital for children suffering from self-harm stemmed from the release of the Show on Netflix's streaming service. (Cooper et al., Suicide Attempt Admissions From a Single Children's Hospital Before and After the Introduction of Netflix Series 13 Reasons Why, 63 Journal of Adolescent Health 688 (Dec. 2018).)
- 48. Subsequent research has again and again confirmed similar empirical effects on suicide rates in the United States closely correlated to the release of the Show (without adequate warnings and targeted at children). (Bridge et al., <u>Association Between the Release of Netflix's 13</u>

  Reasons Why and Suicide Rates in the United States: An Interrupted Time Series Analysis,
  59 Journal of the American Academy of Child & Adolescent Psychiatry 236 (Feb. 2020);
  Niederkrotenthaler et al., <u>Association of Increased Youth Suicides in the United States With the Release of 13 Reasons Why</u>, 76 Journal of the American Medical Association –
  Psychiatry 933 (May 29, 2019).)
- 49. The effect was not merely domestic. For example, similar devastating impacts were identified in Canada. (E.g., Sinyoir et al., Suicides in Young People in Ontario Following the Release of "13 Reasons Why," 64 Canadian Journal of Psychiatry (Aug. 21, 2019).)

  Even empirical research sponsored and paid for by Netflix indicated troubling trends with respect to the effects of Netflix's failure to warn and targeting sizeable portions of child viewers.
- 50. All in all, the consensus of empirical research is clear: Netflix's tortious acts and omissions caused hundreds of deaths and thousands of suicide attempts.
- Netflix's tortious acts caused tragedies with respect to many children, including decedent B H Respect to March 31, 2017. On information and belief, Netflix made no attempt to avoid recommending and targeting the Show, without adequate warning to vulnerable persons, such as B H H herself. Moreover, on information and belief, Netflix made no attempt to avoid manipulating users, including minors such as B H H herself, to watch the Show.

- And, Netflix treated B H according to its typical practices of monitoring users' activities and manipulating their viewing decisions via sophisticated, targeted recommendation algorithms. That is, Netflix used its data about B H to recommend the show to her, to manipulate her into watching it.
- Signs would be if she began suffering those health risks. In sum, Netflix never provided a warning of the health risks of watching the Show when using sophisticated, targeted recommendation systems to manipulate the viewing behaviors of minors and to push its dangerous product, *i.e.*, the Show, on minors, such as B
- F. Netflix used unprecedented levels of data collection, algorithmic data processing, and analytical insights to precisely target some of the most vulnerable members in society with traumatic content that had no adequate warning.
- 54. It cannot be emphasized enough that what Netflix did was entirely different than merely put a book on library bookshelves or put a show on TV. A Netflix engineering director put it best when describing Netflix's capabilities with respect to its users in 2013:

We know what you played, searched for, or rated, as well as the time, date, and device. We even track user interactions such as browsing or scrolling behavior.

(Vanderbilt, *The Science Behind the Netflix Algorithms That Decide What You'll Watch Next, Wired* (Aug. 7, 2013) (interview with Netflix's engineering director, Xavier Amtraiain, describing how "how they control what you watch" (emphasis added)).)

55. As of 2013, several years before Netflix released the Show on its steaming services, its recommendation engine and algorithms already controlled and actively manipulated the vast majority of what its users decide to watch such that "75 percent of viewer activity is driven by" Netflix's targeted recommendation systems. (*Ibid.*)

- 56. Netflix helps users find shows or movies with minimal effort by utilizing algorithms to personalize the user experience. Netflix's algorithms achieve these personalized recommendations by considering factors like viewing history, time of day a user watches, devices watched on, how long a viewer watches, and information about the titles watched. (Netflix, <a href="https://doi.org/10.1001/journal.org/">How Netflix's Recommendations System Works</a>, Netflix Help Center (last accessed Apr. 30, 2021).)
- 57. Netflix has access to nearly limitless data about its users through its online streaming service. Netflix feeds this information into the Netflix Recommender System, *i.e.*, a series of algorithms that personalize the viewer experience to improve Netflix's viewer retention rate. Netflix achieves 80% of its stream time utilizing its Recommender System. (Chong, *Deep Dive into Netflix's Recommender System*, towards data science (Apr. 30, 2020).)
- 58. Indeed, there is no reason to believe that Netflix treated B H any differently, or any of the children targeted and manipulated in watching the Show, than the rest of the users on Netflix's platform.
- 59. In accordance with Netflix's practices, Netflix watched B 's browsing and scrolling behavior, tracking them so that Netflix could manipulate and control what content she would watch on the Netflix streaming service. In accordance with Netflix's practices, Netflix watched the time, date, and devices on which B used Netflix's streaming services, tracking them so that Netflix could manipulate and control what content she would watch on the Netflix streaming service.
- 60. Netflix is, in fact proud of its ability to control what its viewers will watch:

<b>←</b>	Tweet	,
	Netflix @ @netflix · Aug 8, 2013 ···  About 75% of Netflix viewing is driven by the recommendation algorithm: wired.com/underwire/2013 via @WIRED	•
-	□     0     64     ○     68     □	

- 61. Given that Netflix itself estimates that "75 percent of viewer activity is driven" by Netflix's sophisticated, targeted recommendation systems, it is likely that Netflix successfully manipulated B Herndon's viewing selections when she used Netflix's streaming services. Netflix targeted and manipulated B 's viewing choices, and thereby exposed her to the dangerous health risks associated with watching the Show.
- 62. After watching the Show during the month of April, B experienced emotional and psychological distress and harm.
- G. Only after hundreds of children died and after thousands were harmed did Netflix removed its most gratuitous scene of violent suicide, having never warned of the harm it could cause while targeting children directly with that content.
- 63. After the Show was released without warning and targeted to vulnerable populations, mental health experts worried that the failure to warn coupled with the "graphic depiction of Hannah's suicide might function as a how-to guide." (Grady, 13 Reasons Why takes a voyeuristic lens to rape and suicide, with complicated results, Vox.com (May 1, 2017).)
- 64. After the empirical evidence of widespread harm mounted; after report after report of tragedy for families and children; after child-welfare and suicide-prevention advocates and experts expressed their outrage, Netflix removed the scene that was causing the most harm from the Show.
- 65. Ultimately, Netflix simply decided to remove its most dangerous content, having never meaningfully warned of the health risks:

The original, nearly three-minute-long scene — which is no longer available on Netflix — aired midway through the season one finale. It depicted breakout star Katherine Langford's Hannah assessing her life in the mirror before she is depicted sitting in a bathtub, tear on her cheek, taking a razor blade to her left wrist and piercing the skin. The camera then holds on the character as she shrieks in pain as blood gushes from an increasingly long cut that extends nearly up to her elbow. Hannah is then seen gasping for air as her breathing ultimately slows and bloodstained water tips out of the tub. Not long after, Hannah's mother (Kate Walsh) discovers her daughter's lifeless body in the blood-filled tub. Male lead Dylan Minnette provides voiceover during the entire scene as he tells the school's guidance counselor (played by Derek Luke) precisely what happened to Hannah.

[...]

The new scene, which has been updated on the Netflix site, features Hannah looking at herself in the mirror before cutting to her parents' reaction to her suicide. There is no longer any depiction of the character taking a razor blade to her wrists and the immediate aftermath.

(Goldberg, <u>Netflix Alters Graphic '13 Reasons Why' Suicide Scene After Controversy, The Hollywood Reporter</u> (July 15, 2019).)

- been done. As one example, on April 28, 2017, I "B" "H" fell victim to suicide. B" H" fell victim to the very health risk that medical experts and suicide-prevention experts had warned Netflix about regarding the Show. B" H" was one of many suicides predicted before the Show's release. B" H" was a victim of the well-documented, unnatural 28.9% spike in child suicides that occurred after the Show's debut specifically during the month of April 2017.
- 67. But Hammer was laid to rest at the age of 16 at Saint Charles Borromeo Church in Livermore, California on May 15, 2017.

? 

#### V. CLASS ACTION ALLEGATIONS

- The claims asserted herein are appropriate for resolution through a class action. Not only are the claims susceptible for class resolution, but it is also important that they are adjudicated on a class basis, both because the claims require expertise and the members of the class have, on information and belief, faced significant challenges accessing legal representation. It is at least known that the Herndon family has faced significant barriers to legal representation.
  - a. As an initial matter, there are complexities to the case that are significant. The claims involve issues of suicide, suicidal ideation, psychological trauma, as well as larger questions about teenage psychology underlying population awareness of warning signs of suicide and interpretation of advisories, *etc.* These complex issues are better resolved through a class vehicle rather than burdening each class member and their individualized counsel (if they are able to retain one) with extensive litigation and re-litigation on those questions.
  - b. What is more, there is substantial technological and algorithmic complexity of Netflix's targeting, recommendation, and manipulation activities—requiring certain levels of expertise and dedication to meaningfully understand. Again, these complexities weigh in strong favor of class resolution because requiring individual plaintiffs to discover the essential issues, comprehend them, try them, etc., would be extraordinarily expensive and consume significant amounts of time.
  - c. Finally, the Herndons have faced substantial barriers to finding any lawyer who was both willing and able to represent them in this case. In all likelihood, so have the remaining members of the classes. There have been very real access-to-counsel issues for aggrieved families suffering from Netflix's tortious actions.

These reasons favoring class adjudication run the gamut: abstract questions of justice and fairness; pragmatic synergies and efficiencies in the conduct of the litigation and discovery, and the harsh realities of access to law for public-interest cases in contemporary society for everyday Americans. All favor class adjudication.

- 69. Here, as a result of Netflix's inadequate warnings, Netflix caused the death of an estimated hundreds, possibly a thousand, children who committed suicide since the release of the Show, with their many survivors, heirs, etc., holding viable claims. Beyond those who died, there are many more who suffered substantial trauma at the hands of callous business decisions that prioritized reaching certain business milestones over the safety of Netflix's customers. In this situation, the technology is a double-edge sword. Although it permitted the targeting and manipulation of very vulnerable persons, it also permits the class to be ascertained with greater ease. Thus, the classes are both ascertainable and numerous.
- 70. Common questions of law and fact predominate here. The central thread throughout is Netflix's tortious actions and omissions, both its decisions not to adequately warn and to target and manipulate vulnerable persons. Nearly every legal and factual question in the case appears, at this juncture, susceptible for class-wide adjudication. Therefore, there exists a well-defined community of interest that would be highly impracticable absent class adjudication.
- Having lost a sibling to suicide as a result of Netflix's failure to provide adequate warning,

  The and Market Harmon have claims typical of the class of plaintiffs who may assert a

  wrongful death claim for having lost a family member. The and Market Harmon may
  adequately represent this class. Having lost a minor child to suicide as a result of Netflix's
  failure to provide adequate warning, John Herndon has claims typical of class of plaintiffs
  who may still assert a survival action. John Herndon may adequately represent this class.
- 72. The claims here meet the requirements for class-adjudication. In fact, a number of compelling reasons militate in favor of class-certification.

#### VI. CAUSES OF ACTION

#### <u>First Cause of Action</u> Strict Liability—Failure To Warn

- 73. PLAINTIFFS, the Estate of decedent I "B" "H" and decedent's surviving father, John Herndon, hereby repeat and reallege the paragraphs alleged above, on behalf of themselves and all others similarly situated. These allegations expressly include the clarifications about what is not the bases of these claims. See ¶¶ 12-21.
- 74. Netflix manufactured, distributed and/or sold a product, *i.e.*, its Show, Thirteen Reasons Why, and continues to do so. This cause of action does not arise from Netflix's manufacture or creation of the Show, but rather from its targeted distribution of the Show to vulnerable children as well as its sale of the Show without adequate warnings, as part of a subscription package on its streaming service.
- 75. The Show posed serious health risks that were known to or reasonably knowable by Netflix. Indeed, such health risks had been brought to Netflix's attention prior to the Show's release. The foreseeable health risks of such behavior have been extensively documented by the medical, scientific, and suicide-prevention communities.
- 76. Ordinary consumers would not have recognized or been aware of the health risks absent an adequate warning. Ordinary consumers would not recognize or be aware of these health risks even after viewing Netflix's later-added advisories. The advisories merely suggest potential discomfort that may result from mature themes and give no indication of the known health risks caused by the Show.
- 77. Netflix failed to adequately warn children and their families of the health risks of viewing its Show. As a result of the lack of adequate warning, decedent B H and those similarly situated to her were tortiously harmed. Children viewers targeted by Netflix and their adult parents/guardians were not informed that watching the Show could cause or contribute to suicide or suicidal ideations.

WHEREFORE, the aforementioned PLAINTIFFs demand judgment against Defendant Netflix for whatever amount to be determined by a jury after trial, including but not limited to compensatory damages, such as, medical bills, lost wages, lost earning capacity, and pain and suffering and, if applicable, punitive damages, costs, fees, and all other possible relief. To the extent permissible, declaratory relief is also sought.

## Second Cause of Action Wrongful Death

- PLAINTIFFS, decedent B H H 's brothers, J "M" "H and "H and T he and T he he half of themselves and all others similarly situated. These allegations expressly include the clarifications about what is not the bases of these claims. See ¶¶ 12-21.
- As a direct, proximate, and legal result of Netflix's negligent and intentional acts and omissions, B and those similarly situated died. Netflix caused these deaths through its tortious, negligent, and/or reckless behaviors, including through the tortious targeting of vulnerable persons with the Show, manipulating their viewing behaviors, and without providing fair warning of the health risks associated with the Show. As a direct, proximate, and legal result of Netflix's failure to warn, decedents suffered injuries that resulted in their deaths. As a direct, proximate, and legal result of Netflix's tortious acts of targeting dangerous materials at vulnerable populations, Netflix caused decedents' deaths.
- 80. As a direct, legal, and proximate result of Netflix's negligent and intentional acts and omissions, aforementioned Plaintiffs have suffered a loss of love, companionship, comfort, affection, society, solace, training and/or moral support and are entitled to damages pursuant to Code of Civil Procedure § 377.60, et seq.

WHEREFORE, the aforementioned PLAINTIFFs demand judgment against Defendant Netflix and are entitled to recover wrongful death damages pursuant to California Code of Civil Procedure §377.61, including but not limited to, both economic and non-economic compensatory damages, such as: the loss of financial support the decedent would have contributed to the family, the loss of

gifts or benefits plaintiff would have expected to receive from decedent, funeral and burial expenses, the reasonable value of household service decedent would have provided, as well as, a loss of love, companionship, comfort, affection, society, solace, training and/or moral support. To the extent permissible, declaratory relief is also sought.

## Third Cause of Action Negligence

- As a direct, proximate, and legal result of Netflix's negligent and intentional acts and omissions, B and those similarly situated died. Netflix caused these deaths through its tortious, negligent, and/or reckless behaviors, including through the tortious targeting of vulnerable persons with the Show, manipulating their viewing behaviors, and without providing fair warning of the health risks associated with the Show. As a direct, proximate, and legal result of Netflix's failure to warn, decedents suffered injuries that resulted in their deaths. As a direct, proximate, and legal result of Netflix's tortious acts of targeting dangerous materials at vulnerable populations, Netflix caused decedents' deaths.
- 82. PLAINTIFFS, the Estate of decedent I "B" "H" and decedent's surviving father, John Herndon, hereby repeat and reallege the paragraphs alleged above, on behalf of themselves and all others similarly situated. These allegations expressly include the clarifications about what is not the bases of these claims. See ¶¶ 12-21.
- 83. Defendant Netflix negligently, carelessly, and/or recklessly failed to warn of the health risks associated with viewing the Show. Such health risks had been brought to Netflix's attention prior to the Show's release. The foreseeable health risks of such behavior have been extensively documented by the medical, scientific, and suicide-prevention communities. Nevertheless, Netflix did not provide adequate or reasonable warnings of the health risks associated with viewing the Show.
- 84. Defendant Netflix negligently, carelessly, and/or recklessly specifically targeted the show to vulnerable populations, including decedent B and those similarly situated.

- 85. Defendants Netflix's negligent, carless, and/or reckless conduct and omissions caused and/or significantly contributed to the death of decedent B H and those similarly situated.
- 86. As a direct and legal result of the said wrongful conduct and/or omissions of Defendant Netflix, Plaintiffs suffered substantial harm.

WHEREFORE, PLAINTIFFS demand judgment against DEFENDANT Netflix for whatever for whatever amount to be determined by a jury after trial, including but not limited to punitive damages, economic compensatory damages, and/or non-economic compensatory damages. To the extent permissible, declaratory relief is also sought.

#### VII. DEMAND FOR TRIAL BY JURY

87. Plaintiffs hereby demand a trial by jury of all issues so triable.

		•
1	DATED: April 30, 2021	Respectfully submitted,
2		/s/ Ryan Hamilton
3		Ryan Hamilton (Bar No. 291349)
		HAMILTON LAW LLC 5125 South Durango, Suite C
4		Las Vegas, Nevada 89113
5		(702) 818-1818
6		ryan@hamlegal.com
7		Gregory Keenan (pro hac vice forthcoming)
7		DIGITAL JUSTICE FOUNDATION
8		81 Stewart Street
9		Floral Park, New York 11001 (516) 633-2633
		gregory@digitaljusticefoundation.org
10	·	
11		Andrew Grimm (pro hac vice forthcoming) DIGITAL JUSTICE FOUNDATION
12		15287 Pepperwood Drive
12		Omaha, Nebraska 68154
13		(531) 210-2381
14	·	andrew@digitaljusticefoundation.org
		Rory Stevens (pro hac vice forthcoming)
15		LAW OFFICE OF RORY L. STEVENS
16		4303 Southwest Cambridge Street
17		Seattle, Washington 98136 (206) 850-4444
		rorylawstevensesq@gmail.com
18		
19		Megan Verrips (pro hac vice forthcoming)
20		Information Dignity Alliance P.O. Box 8684
20		101 Southwest Madison Street
21		Portland, Oregon 97207
22		(925) 330-0359
		megan@informationdignityalliance.org
23		James D. Banker (Bar No. 317242)
24		DIGITAL JUSTICE FOUNDATION
25		701 Pennsylvania Avenue Northwest, Apt. 1003
۷3		Washington, District of Columbia 20004 (714) 722-5658
26		jimbanker@gmail.com
27		
		Attorneys for Plaintiffs
28		
		- 24 -
		Complaint

Complaint

# SUPERIOR COURT OF CALIFORNIA, COUNTY OF SANTA CLARA 191 N. FIRST STREET SAN JOSE, CA 95113-1090

**FILED** 

July 2, 2021

Clerk of The Court Superior Court of CA County of Santa Clara

21CV382518

By: rwalker

**FILE COPY** 

TO:

RE:

The Estate of I B B H H , et al. v. Netflix, Inc.

CASE NUMBER: 21CV382518

#### ORDER AND NOTICE OF REASSIGNMENT OF CASE

A review of the above-referenced matter has determined that the Complaint was filed as a proposed class action. Accordingly, reassignment to the Complex Division is appropriate and this matter shall be, and is, reassigned for all purposes, including discovery, law & motion, settlement conference, and trial, to **Department 1** (Complex Civil Litigation), the **HONORABLE SUNIL R. KULKARNI** presiding.

The Case Management Conference is reset from September 7, 2021 to <u>September 9, 2021 at 2:30 p.m. in Department 1.</u>

Please contact the Complex Civil Litigation Department, (408) 882-2286, if you have any questions.

Date Issued: July 2, 2021

Honorable Beth McGowen Civil Supervising Judge

If you, a party represented by you, or a witness to be called on behalf of that party need an accommodation under the American with Disabilities Act, please contact the Court Administrator's office at (408) 882-2700, or use the Court's TDD line, (408) 882-2690 or the Voice/TDD California Relay Service, (800) 735-2922.

## SUPERIOR COURT OF CALIFORNIA, COUNTY OF SANTA CLARA

191 N. FIRST STREET

SAN JOSE, CA 95113-1090 **Electronically Filed** 

by Superior Court of CA, County of Santa Clara. on 7/7/2021 12:54 PM

Reviewed By: R. Walker

l. et al. v. Netflix. Inc. The Estate of

21CV382518 CASE NUMBER:

TO:

RE:

**FILE COPY** 

Case #21CV382518 **Envelope: 6795313** 

#### ORDER DEEMING CASE COMPLEX AND STAYING DISCOVERY AND RESPONSIVE PLEADING DEADLINE

WHEREAS, the Complaint was filed by Plaintiffs THE ESTATE OF ("Plaintiff"), et al. in the Superior Court of California, County of Santa Clara, on April 30, 2021 and reassigned on July 2, 2021 to Department 1 (Complex Civil Litigation), the Honorable Sunil R. Kulkarni preśiding, pending a ruling on the complexity issue;

#### IT IS HEREBY ORDERED that:

The Court determines that the above-referenced case is COMPLEX within the meaning of California Rules of Court 3.400. The matter remains assigned, for all purposes, including discovery and trial, to Department 1 (Complex Civil Litigation), the Honorable Sunil R. Kulkarni presiding.

The parties are directed to the Court's local rules and guidelines regarding electronic filing and to the Complex Civil Guidelines, which are available on the Court's website.

Pursuant to California Rules of Court, Rule 3.254, the creation and maintenance of the Master Service List shall be under the auspices of (1) Plaintiff THE ESTATE OF IS the first-named party in the Complaint, and (2) the first-named party in each Cross-Complaint, if any.

Pursuant to Government Code section 70616(c), each party's complex case fee is due within ten (10) calendar days of this date.

Plaintiff shall serve a copy of this Order on all parties forthwith and file a proof of service within seven (7) days of service.

Any party objecting to the complex designation must file an objection and proof of service within ten (10) days of service of this Order. Any response to the objection must be filed within seven (7) days of service of the objection. The Court will make its ruling on the submitted pleadings.

The Case Management Conference remains set for September 9, 2021 at 2:30 p.m. in **Department 1** and all counsel are ordered to attend by **CourtCall**.

Counsel for all parties are ordered to meet and confer in person at least 15 days prior to the First Case Management Conference and discuss the following issues:

- 1. Issues related to recusal or disqualification;
- 2. Issues of law that, if considered by the Court, may simplify or further resolution of the case, including issues regarding choice of law;
- 3. Appropriate alternative dispute resolution (ADR), for example, mediation, mandatory settlement conference, arbitration, mini-trial;
- 4. A plan for preservation of evidence and a uniform system for identification of documents throughout the course of this litigation;
- 5. A plan for document disclosure/production and additional discovery; which will generally be conducted under court supervision and by court order;

- 6. Whether it is advisable to address discovery in phases so that information needed to conduct meaningful ADR is obtained early in the case (counsel should consider whether they will stipulated to limited merits discovery in advance of certification proceedings), allowing the option to complete discovery if ADR efforts are unsuccessful;
- 7. Any issues involving the protection of evidence and confidentiality;
- 8. The handling of any potential publicity issues;

Counsel for Plaintiff is to take the lead in preparing a Joint Case Management Conference Statement to be filed 5 calendar days prior to the First Case Management Conference, and include the following:

- 1. a brief objective summary of the case;
- 2. a summary of any orders from prior case management conferences and the progress of the parties' compliance with said orders;
- 3. significant procedural and practical problems that may likely be encountered;
- 4. suggestions for efficient management, including a proposed timeline of key events; and
- 5. any other special consideration to assist the court in determining an effective case management plan.

To the extent the parties are unable to agree on the matters to be addressed in the Joint Case Management Conference Statement, the positions of each party or of various parties should be set forth separately and attached to this report as addenda. The parties are encouraged to propose, either jointly or separately, any approaches to case management they believe will promote the fair and efficient handling of this case. The Court is particularly interested in identifying potentially dispositive or significant threshold issues the early resolution of which may assist in moving the case toward effective ADR and/or a final disposition.

STAY ON DISCOVERY AND RESPONSIVE PLEADING DEADLINE Pending further order of this Court, the service of discovery and the obligation to respond to any outstanding discovery is stayed. However, Defendant(s) shall file a Notice of Appearance for purposes of identification of counsel and preparation of a service list. The filing of such a Notice of Appearance shall be without prejudice to the later filing of a motion to quash to contest jurisdiction. Parties shall not file or serve responsive pleadings, including answers to the complaint, motions to strike, demurrers, motions for change of venue and cross-complaints until a date is set at the First Case Management Conference for such filings and hearings.

This Order is issued to assist the Court and the parties in the management of this "Complex" case through the development of an orderly schedule for briefing and hearings. This Order shall not preclude the parties from continuing to informally exchange documents that may assist in their initial evaluation of the issues presented in this Case.

Plaintiff shall serve a copy of this Order on all the parties in this matter forthwith.

SO ORDERED.

Date: July 7, 2021

Hon. Sunil R. Kulkarni
Judge of the Superior Court

If you, a party represented by you, or a witness to be called on behalf of that party need an accommodation under the American with Disabilities Act, please contact the Court Administrator's office at (408) 882-2700, or use the Court's TDD line, (408) 882-2690 or the Voice/TDD California Relay Service, (800) 735-2922.

## Case 5:21-cv-06561 Document 3-1 Filed 08/25/21 Page 62 of 110

Santa Clara - Civil

#### ATTACHMENT CV-5012 driguez

#### CIVIL LAWSUIT NOTICE

Superior Court of California, County of Santa Clara 191 North First St., San José, CA 95113

	21CV	382518		
CASE NUMBER:				
		-		

#### PLEASE READ THIS ENTIRE FORM

<u>PLAINTIFF</u> (the person suing): Within 60 days after filing the lawsuit, you must serve each Defendant with the *Complaint*, *Summons*, an *Alternative Dispute Resolution (ADR) Information Sheet*, and a copy of this *Civil Lawsuit Notice*, and you must file written proof of such service.

#### **<u>DEFENDANT</u>** (The person sued): You must do each of the following to protect your rights:

- 1. You must file a written response to the Complaint, using the proper legal form or format, in the Clerk's Office of the Court, within 30 days of the date you were served with the Summons and Complaint;
- 2. You must serve by mail a copy of your written response on the Plaintiff's attorney or on the Plaintiff if Plaintiff has no attorney (to "serve by mail" means to have an adult other than yourself mail a copy); and
- 3. You must attend the first Case Management Conference.

Warning: If you, as the Defendant, do not follow these instructions, you may automatically lose this case.

<u>RULES AND FORMS:</u> You must follow the California Rules of Court and the Superior Court of California, County of <\_CountyName\_> Local Civil Rules and use proper forms. You can obtain legal information, view the rules and receive forms, free of charge, from the Self-Help Center at 201 North First Street, San José (408-882-2900 x-2926).

- State Rules and Judicial Council Forms: www.courtinfo.ca.gov/forms and www.courtinfo.ca.gov/rules
- Local Rules and Forms: http://www.sccsuperiorcourt.org/civil/rule1toc.htm

<u>CASE MANAGEMENT CONFERENCE (CMC):</u> You must meet with the other parties and discuss the case, in person or by telephone at least 30 calendar days before the CMC. You must also fill out, file and serve a Case Management Statement (Judicial Council form CM-110) at least 15 calendar days before the CMC.

You or your attorney must appear at the CMC. You may ask to appear by telephone – see Local Civil Rule 8.

Your Case Management Judge is:	akaichi, Drew C	Department:	2
The 1st CMC is scheduled for: (Completed	by Clerk of Court)		
Date:	Time:	in Department:	2
The next CMC is scheduled for: (Complete	ed by party if the 1st CMC v	vas continued or has passed)	
Date:	Time:	in Department:	

<u>ALTERNATIVE DISPUTE RESOLUTION (ADR)</u>: If all parties have appeared and filed a completed *ADR Stipulation Form* (local form CV-5008) at least 15 days before the CMC, the Court will cancel the CMC and mail notice of an ADR Status Conference. Visit the Court's website at <a href="www.sccsuperiorcourt.org/civil/ADR/">www.sccsuperiorcourt.org/civil/ADR/</a> or call the ADR Administrator (408-882-2100 x-2530) for a list of ADR providers and their qualifications, services, and fees.

WARNING: Sanctions may be imposed if you do not follow the California Rules of Court or the Local Rules of Court.

CV-5012 REV 08/01/16 CIVIL LAWSUIT NOTICE Page 1 of 1

			POS-015
ATTORNEY OR PARTY WITHOUT ATTORNEY;	STATE BAR NO: 291349		FOR COURT USE ONLY
NAME: Ryan A. Hamilton, Esq.			
FIRM NAME: Hamilton Law STREET ADDRESS: 5125 South Durango Driv	e Suite C		
CITY: Las Vegas		DE: 89113	
TELEPHONE NO.: (702) 818-1818	FAX NO.: (702) 974-1		
E-MAIL ADDRESS: Ryan@HamLegal.com	, , ,		
ATTORNEY FOR (Name): The Estate of Is Th	" Harris, John Hemdon, James "N		
SUPERIOR COURT OF CALIFORNIA, COUN STREET ADDRESS: 191 North First Street MAILING ADDRESS:	ITY OF Santa Clara		
CITY AND ZIP CODE: San Jose, CA 95113  BRANCH NAME: Downtown Superior Court	(DTS)		
Plaintiff/Petitioner: The Estate of The Internal Plaintiff/Petitioner: The Internal Plaintiff Pl	"Basa" Harray, John Herndon, J	"Massa" H	
NOTICE AND ACKNOW	EDCMENT OF DECEME		ASE NUMBER:
NOTICE AND ACKNOWL	EDGMENT OF RECEIPT—	-CIVIL 2	21CV382518
TO (insert name of party being served): (	C T Corporation System		
	NOTIC	E	
(or the party on whose behalf you are on you in any other manner permitted If you are being served on behalf of a form must be signed by you in the name of the party of the p	is form and return it within 20 being served) to liability for the by law. corporation, an unincorporated the of such entity or by a persone of such entity or by a persone.	days from the date of e payment of any exp d association (including an authorized to receive	mailing shown below may subject you enses incurred in serving a summons
summons. If you return this form to the acknowledgment of receipt below.	e sender, service of a summor	ns is deemed complet	e on the day you sign the
Date of mailing: July 9, 2021			
Ryan A. Hamilt (TYPE OR PRINT NAI		Signy Ture (	OF SENDER MUST NOT BE A PARTY IN THIS CASE)
		(1	'
	ACKNOWLEDGMEN	T OF RECEIPT	
This acknowledges receipt of (to be comp	pleted by sender before mai	ling):	
<ol> <li>A copy of the summons and of temperature</li> <li>Other (specify):         <ul> <li>Order Deeming Case Corder and Notice of Re</li> </ul> </li> </ol>	Complex and Staying I	Discovery and F Civil Lawsuit No	Responsive Pleading Deadline, otice
(To be completed by recipient):			
Date this form is signed:July	28, 2021	Au	'MI
Blanca F. Young, on behalf	of Netflix, Inc.	7	
(TYPE OR PRINT YOUR NAME AND NAME ON WHOSE BEHALF THIS FORM	OF ENTITY, IF ANY,		ERSON ACKNOWLEDGING RECEIPT, WITH TITLE IF S MADE ON BEHALF OF ANOTHER PERSON OR ENTITY)

Page 1 of 1

#### Case 5:21-cv-06561 Document 3-1 Filed 08/25/21 Page 64 of 110



**Service of Process** Transmittal

07/28/2021

CT Log Number 539984536

TO: Lilly Guadarrama

Netflix, Inc. 100 Winchester Cir Los Gatos, CA 95032-1815

RE: **Process Served in California** 

FOR: Netflix, Inc. (Domestic State: DE)

#### ENCLOSED ARE COPIES OF LEGAL PROCESS RECEIVED BY THE STATUTORY AGENT OF THE ABOVE COMPANY AS FOLLOWS:

TITLE OF ACTION: The Estate\_Of\_ , John Herndon, .

Minor, The Process, a Minor, etc., on behalf of themselves and all others similarly situated, Pltfs. vs. Netflix, Inc., Dft.

**DOCUMENT(S) SERVED:** 

COURT/AGENCY: None Specified

Case # 21CV382518

**NATURE OF ACTION:** Wrongful Death

ON WHOM PROCESS WAS SERVED: C T Corporation System, GLENDALE, CA

DATE AND HOUR OF SERVICE: By Process Server on 07/28/2021 at 15:02

**JURISDICTION SERVED:** California

**APPEARANCE OR ANSWER DUE:** None Specified ATTORNEY(S) / SENDER(S): None Specified

**ACTION ITEMS:** CT has retained the current log, Retain Date: 07/28/2021, Expected Purge Date:

08/02/2021

Image SOP

Email Notification, Lilly Guadarrama lilly@netflix.com

Email Notification, Haley Ly legal@netflix.com

REGISTERED AGENT ADDRESS: C T Corporation System

330 N BRAND BLÝD

**STE 700** 

GLENDALE, CA 91203

877-564-7529

 ${\tt Major Account Team 2@wolters kluwer.com}$ 

The information contained in this Transmittal is provided by CT for quick reference only. It does not constitute a legal opinion, and should not otherwise be relied on, as to the nature of action, the amount of damages, the answer date, or any other information contained in the included documents. The recipient(s) of this form is responsible for reviewing and interpreting the included documents and taking appropriate action, including consulting with its legal and other advisors as necessary. CT disclaims all liability for the information contained in this form, including for any omissions or inaccuracies that may be contained therein.

## Case 5:21-cv-06561 Document 3-1 Filed 08/25/21 Page 65 of 110



**Service of Process Transmittal** 

07/28/2021

CT Log Number 539984536

TO:

Lilly Guadarrama Netflix, Inc. 100 Winchester Cir Los Gatos, CA 95032-1815

RE: **Process Served in California** 

FOR: Netflix, Inc. (Domestic State: DE)

**DOCKET HISTORY:** 

DOCUMENT(S) SERVED:

DATE AND

By Courier on 07/13/2021 at 11:56

Lilly Guadarrama Netflix, Inc.

539892597

CT LOG NUMBER:

Page 2 of 2 / JG



## **PROCESS SERVER DELIVERY DETAILS**

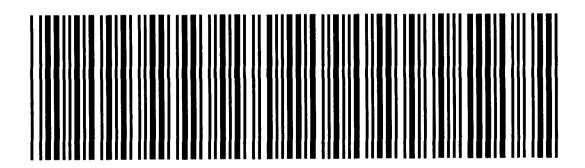
**Date:** Wed, Jul 28, 2021

Server Name: Jimmy Lizama

Entity Served NETFLIX, INC.

Case Number 21CV382518

Jurisdiction CA





July 27, 2021

C T Corporation System Registered Agent for Netflix, Inc. 330 N. Brand Blvd., Suite 700 Glendale, CA 91203

Via Service of Process: OneLegal; C T Corporation System, 330 N. Brand Blvd., Suite 700, Glendale, CA 91203

, John Herndon, J Re: The Estate of I. v. Netflix, Inc.; Case No.: 21CV382518

To the person served:

As notification pursuant to California Code of Civil Procedure 412.30, you are hereby served in the within action on behalf of Netflix, Inc. as a person upon whom a copy of the summons and of the complaint may be delivered to effect service on said party under the provisions of 413.10 and 415.10 of the Code of Civil Procedure.

Sincerely,

Licensed in NV, CA, and IN

#### ATTACHMENT CV-5012 driguez

### CIVIL LAWSUIT NOTICE

Superior Court of California, County of Santa Clara 191 North First St., San José, CA 95113

	21CV382518	
CASE NUMBER:		

#### PLEASE READ THIS ENTIRE FORM

<u>PLAINTIFF</u> (the person suing): Within 60 days after filing the lawsuit, you must serve each Defendant with the *Complaint*, *Summons*, an *Alternative Dispute Resolution (ADR) Information Sheet*, and a copy of this *Civil Lawsuit Notice*, and you must file written proof of such service.

#### **DEFENDANT** (The person sued): You must do each of the following to protect your rights:

- 1. You must file a written response to the Complaint, using the proper legal form or format, in the Clerk's Office of the Court, within 30 days of the date you were served with the Summons and Complaint;
- 2. You must serve by mail a copy of your written response on the Plaintiff's attorney or on the Plaintiff if Plaintiff has no attorney (to "serve by mail" means to have an adult other than yourself mail a copy); and
- 3. You must attend the first Case Management Conference.

Warning: If you, as the Defendant, do not follow these instructions, you may automatically lose this case.

<u>RULES AND FORMS:</u> You must follow the California Rules of Court and the Superior Court of California, County of <\_CountyName\_> Local Civil Rules and use proper forms. You can obtain legal information, view the rules and receive forms, free of charge, from the Self-Help Center at 201 North First Street, San José (408-882-2900 x-2926).

- State Rules and Judicial Council Forms: www.courtinfo.ca.gov/forms and www.courtinfo.ca.gov/rules
- Local Rules and Forms: http://www.sccsuperiorcourt.org/civil/rule1toc.htm

<u>CASE MANAGEMENT CONFERENCE (CMC):</u> You must meet with the other parties and discuss the case, in person or by telephone at least 30 calendar days before the CMC. You must also fill out, file and serve a Case Management Statement (Judicial Council form CM-110) at least 15 calendar days before the CMC.

You or your attorney must appear at the CMC. You may ask to appear by telephone – see Local Civil Rule 8.

Your Case Management Judge i	Takaichi <b>S:</b>	, Drew C	Department:	2
The 1st CMC is scheduled for: (	•	erk of Court)		•
Date:	9/7/2021	Time:	in Department:	
The next CMC is scheduled for:	(Completed by	party if the 1st CM	C was continued or has passed)	
Date:		Time:	in Department:	<u> </u>

ALTERNATIVE DISPUTE RESOLUTION (ADR): If all parties have appeared and filed a completed ADR Stipulation Form (local form CV-5008) at least 15 days before the CMC, the Court will cancel the CMC and mail notice of an ADR Status Conference. Visit the Court's website at <a href="https://www.sccsuperiorcourt.org/civil/ADR/">www.sccsuperiorcourt.org/civil/ADR/</a> or call the ADR Administrator (408-882-2100 x-2530) for a list of ADR providers and their qualifications, services, and fees.

WARNING: Sanctions may be imposed if you do not follow the California Rules of Court or the Local Rules of Court.

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ลุเาเวเกษา วร Pakit พiเท <b>ณ 26 (อะเอะ ัว(โลกะ) ระโมโซโอกิต</b> Ryan Hamilton SBN 291349 5125 South Durango, Suite C Las Vegas, Nevada 89113	aber, வெளுவரை ent 3-1 Filed 08/25/				
IELEPHONE NO.: (702) 818-1818	FAX NO. (Optional):	Electronically Filed			
ALLORNEY FOR (Name): Estate of I	by Superior Court of CA,				
SUPERIOR COURT OF CALIFORNIA, COUNTY O	F Santa Clara	County of Santa Clara,			
SIREEI ADDRESS: 191 North First Street		on 6/22/2021 5:29 PM			
MAILING AUDKESS: 191 North First Street		<b>.</b>			
CITY AND ZIP CODE: San Jose, CA, 95113		Reviewed By: A. Rodriguez			
икансн намь: Downtown Superior Court (DTS	5)	Case #21CV382518			
CASE NAME: Estate of ( Harry Harry ), John Hemdon, J	Market Harris & Table Harris v. Netflix, Ir	Envelope: 6701653			
CIVIL CASE COVER SHEET	<b>Complex Case Designation</b>	CASE NUMBER:			
■ Unlimited	Counter Joinder	21CV382518			
(Amount (Amount demanded is	Filed with first appearance by defendan	t JUDGE:			
demanded demanded is exceeds \$25,000) \$25,000)	(Cal. Rules of Court, rule 3.402)	DEP1.:			
	low must be completed (see instructions of	on page 2).			
1. Check one box below for the case type that					
Auto Tort	Contract	Provisionally Complex Civil Litigation			
Auto (22)	Breach of contract/warranty (06)	(Cal. Rules of Court, rules 3.400-3.403)			
Uninsured motorist (46)	Rule 3.740 collections (09)	Antitrust/Trade regulation (03)			
Other PI/PD/WD (Personal Injury/Property	Other collections (09)	Construction defect (10)			
Damage/Wrongful Death) Tort	Insurance coverage (18)	Mass tort (40)			
Asbestos (04)	Other contract (37)	Securities litigation (28)			
Product liability (24)	Real Property	Environmental/Toxic tort (30)			
Medical malpractice (45)	Eminent domain/Inverse	Insurance coverage claims arising from the above listed provisionally complex case			
Other PI/PD/WD (23)	condemnation (14)	types (41)			
Non-PI/PD/WD (Other) Tort	Wrongful eviction (33)	Enforcement of Judgment			
Business tort/unfair business practice (07)	Other real property (26) Unlawful Detainer	Enforcement of judgment (20)			
Civil rights (08)	Commercial (31)	Miscellaneous Civil Complaint			
Defamation (13)	Residential (32)	RICO (27)			
Fraud (16)	Drugs (38)	Other complaint (not specified above) (42)			
Intellectual property (19)  Professional negligence (25)	Judicial Review	Miscellaneous Civil Petition			
Other non-PI/PD/WD tort (35)	Asset forfeiture (05)	Partnership and corporate governance (21)			
Employment	Petition re: arbitration award (11)	Other petition (not specified above) (43)			
Wrongful termination (36)	Writ of mandate (02)				
Other employment (15)	Other judicial review (39)				
2. This case 💌 is 📄 is not com	plex under rule 3,400 of the California Ru	les of Court. If the case is complex, mark the			
factors requiring exceptional judicial manag	•				
a. Large number of separately repre		er of witnesses			
b. <b>x</b> Extensive motion practice raising	difficult or novel e. Coordination	with related actions pending in one or more			
issues that will be time-consuming	•	er counties, states, or countries, or in a federal			
c. 💌 Substantial amount of documenta	ry evidence court				
f. Substantial postjudgment judicial supervision					
3. Remedies sought (check all that apply): a. * monetary b. * nonmonetary; declaratory or injunctive relief c. * punitive					
<ul> <li>4. Number of causes of action (specify): 3: wrongful death, strict liability - failure to warn, negligence</li> <li>5. This case  is is is a class action suit.</li> </ul>					
المنطقيقية المنطقة		evuse form CM-015)			
6. If there are any known related cases, file and serve a notice of related case. (You may use form CM-015.)  Date: June 22, 2021					
Ryan Hamilton	•	Is/ Ryan Hamiton			
(TYPE OR PRINT NAME)	<u> </u>	(SIGNATURE OF PARTY OR ATTORNEY FOR PARTY)			
NOTICE					
• Plaintiff must file this cover sheet with the first paper filed in the action or proceeding (except small claims cases or cases filed under the Probate Code, Family Code, or Welfare and Institutions Code). (Cal. Rules of Court, rule 3.220.) Failure to file may result					
in sanctions.  • File this cover sheet in addition to any cover sheet required by local court rule.					
If this case is complex under rule 3.400 et seq. of the California Rules of Court, you must serve a copy of this cover sheet on all					
other parties to the action or proceeding.					
• Unless this is a collections case under rule 3.740 or a complex case, this cover sheet will be used for statistical purposes only.  Page 1 of 2					

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1	Control Wasses (see Institute forther ming)		E-FILED 4/30/2021 11:59 PM Clerk of Court
1 2	Gregory Keenan ( <i>pro hac vice</i> forthcoming) DIGITAL JUSTICE FOUNDATION 81 Stewart Street		Superior Court of CA, County of Santa Clara
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14	IN THE SUPERIOR CO	NIDT OF CAL	IEODNI A
15	FOR THE COUNTY		
16		DIVISION	
17	<u></u>		21CV382518
18 19	THE ESTATE OF LEGISLE "E "' HELDER JOHN HERNDON, .	Case No.:  CLASS ACT	ΓΙΟΝ
20	"Marker" Harris, a minor, T	Complaint f	
21	on behalf of themselves and all others similarly	i	re to Adequately Warn, ngful Death, and
22	situated,	• Negli	gence.
23	Plaintiffs, v.	[Jury Trial]	Demanded]
24	NETFLIX INC.,		
25	Defendant.		
26		,	
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	Com	nplaint	

## Case 5:21-cv-06561 Document 3-1 Filed 08/25/21 Page 71 of 110 Rory Stevens (pro hac vice forthcoming) LAW OFFICE OF RORY L. STEVENS 2 4303 Southwest Cambridge Street Seattle, Washington 98136 3 (206) 850-4444 rorylawstevensesq@gmail.com 4 5 | Megan Verrips (pro hac vice forthcoming) INFORMATION DIGNITY ALLIANCE 6 P.O. Box 8684 101 Southwest Madison Street Portland, Oregon 97207 (925) 330-0359 8 megan@informationdignityalliance.org 9 James D. Banker (SBN 317242) 10 DIGITAL JUSTICE FOUNDATION 701 Pennsylvania Avenue Northwest, Apt. 1003 11 Washington, District of Columbia 20004 (714) 722-5658 12 jimbanker@gmail.com 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 - 2 -

Complaint

Plaintiffs—the Estate of I "B "" Harman and natural persons John Herndon, J "Market" Harman, a minor, and T Harman, a minor—on behalf of themselves and on behalf of all others similarly situated, hereby make class-action allegations as follows:

#### I. NATURE OF THE CASE

- 1. In April 2017, child suicides spiked. This wave of suicides came as a surprise to most. Teachers, politicians, journalists, hospital staff, psychiatric experts, suicide-prevention advocates, and, most of all, heartbroken families of the victims themselves were all shocked as the number of child deaths mounted.
- 2. But these suicides were not entirely unforeseen. One entity had been made aware that these deaths could and would assuredly happen if it did not change its course of action: Defendant Netflix Inc. and its pertinent subsidiaries (collectively "Netflix").
- 3. Netflix should have been able to foresee this spike in child suicides because its tortious actions and omissions caused these deaths and it was warned in advance. Yet Netflix proceeded anyway, prioritizing its own strategy goals of market dominance in the youth demographic over the lives and well-being of vulnerable populations it knew would suffer—and die—if it did not provide greater warnings and take reasonable, common-sense steps to avoid using its data in a reckless manner that harmed children.
- 4. In March of 2017, Netflix released a show, <u>Thirteen Reasons Why</u> ("Show") on its streaming service. Before that, however, it had been warned by experts backed by decades of empirical research that child suicides and other profound psychological harm would occur if impressionable youths were targeted and not warned of the health risks inherent in viewing the Show.
- 5. Netflix had been put on notice of the risk and concrete prospects of serious, irreparable harm that its Show posed to the most vulnerable of viewers: children. Yet Netflix failed to take reasonable, appropriate, and commonsensical cautionary measures. It failed to warn of known harms and health risks—the very risks that it had been warned about ahead of time. Instead, it used its sophisticated, targeted recommendation systems to push the Show on unsuspecting and vulnerable children, using its cutting-edge technology.

- 6. As children began to die, the experts started to piece the tragedies together. For example, years after the Show's release, the National Institute of Mental Health associated the 28.9% increase in the child-suicide rate during the month of April 2017 with Netflix's Show—a child-suicide spike that could have been avoided had Netflix taken basic moral responsibilities to warn and to not target its most vulnerable viewers.
- Yet, even after empirical researchers repeatedly identified the profound human cost of Netflix's decisions, Netflix still did not meaningfully warn about the dangers of its Show, and did not moderate its algorithms to avoid targeting vulnerable children. Instead, Netflix dug its heels in for years, choosing a path of callous resistance to the realities of hundreds of children whose deaths Netflix had tortiously caused.

#### II. PARTIES

- Plaintiffs. Decedent I "B" "H" was a natural person domiciled in the State of California. She died as a result of the tortious acts and omissions of Netflix that caused, or at least substantially contributed to, her suicide. B" s father, John Herndon; her younger minor brothers, J" "M" "H" and T" P" H" H"; and her Estate are Plaintiffs in this action, all domiciled in California, asserting wrongful-death and survivor claims against Netflix both in their capacities as individuals (and/or individual-representatives of the Estate) and in their capacities as class-representatives on behalf of all others similarly situated. The survivorship claims are asserted by the Estate and/or John Herndon. The wrongful-death claims are asserted by B" s younger minor brothers, J" "M" "H" and T" P" H".
- 9. **Defendant.** Netflix is a corporate entity domiciled and at-home in the State of California.

  Netflix's tortious acts and omissions caused, or at least substantially contributed to, Barris suicide and substantial harms, including death, to many other children.

#### III. JURISDICTION & VENUE

- natter jurisdiction. (See Code Civ. Proc. § 410.10.) Netflix maintains its principal place of business in Los Gatos, California. Netflix also maintains systemic, continuous and substantial contacts with California consumers in the form of offering membership subscriptions to its content-streaming service. Netflix's activities in California are and were highly interactive, systemic and continuous so as to support a finding of general, all-purpose jurisdiction in this Court. (See Code Civ. Pro. § 410.10.)
- 11. Venue. Netflix's principal office is in Los Gatos, California, in Santa Clara County and, on information and belief, substantially all of the tortious acts occurred there. Thus, this Court is a proper venue. (See Code Civ. Pro § 395, subd. b.)

#### IV. STATEMENT OF FACTS

- A. After the novel <u>Thirteen Reasons Why</u> was published, Netflix adapted it into a startingly graphic streaming show.
- 12. In October 2007, Jay Asher's novel <u>Thirteen Reasons Why</u> ("Novel") was published. The Novel takes readers through transcripts of fictional audiotapes recorded by its main character, Hannah Baker, before her suicide. Each of the Novel's thirteen fictional transcripts gives an anecdote addressed to another character who Baker partially blamed for causing her suicide. The Novel was a hit, making the New York Times' young-adult best-seller list a few times. (Rich, <u>A Story of a Teenager's Suicide Quietly Becomes a Best Seller</u>, The New York Times (Mar. 9, 2009).)
- 13. Years later, Netflix purchased the rights for a television show that had been adapted from the Novel ("Show"). Part of the business case for adapting the Novel into the Show was that the Novel already had a "huge following" and "huge fan base" so the Show was expected to attract younger audiences. (Rochlin, Selena Gomez (and Others) on Adapting 'Thirteen Reasons Why' for Netflix, The New York Times (Mar. 22, 2017).)

- 14. As with the Novel, the Show features "broken friendships, a fatal auto accident" and "startlingly naturalistic depictions of rape and suicide." Yet Netflix's adaptation of the Novel into thirteen hours of streaming content made several significant changes. (Hale, Review: '13 Reasons Why' She Killed Herself, Drawn Out on Netflix, The New York Times (Mar. 30, 2017).)
- 15. One difference between the Novel and the Show is pacing. The Novel is quick-paced and, as a reviewer notes, "stylistically economical[.]" By contrast, the Show "demands that you listen to a suicide note for thirteen hours, while the suicide in question is built up as the grand climax[.]" (Tolentino, "13 Reasons Why" Makes a Smarmy Spectacle of Suicide, The New Yorker (May 10, 2017).)
- 16. Perhaps the most drastic difference between the Novel and the Show is how they depict the main character Hannah Baker's suicide:

[The Show's creators] decided to depict Hannah's suicide in "unflinching" detail." In the book, she swallows pills. In the show, she saws vertically at her forearms with razor blades, sobbing and screaming in an overflowing, pinkish tub.

- (Tolentino, "13 Reasons Why" Makes a Smarmy Spectacle of Suicide, The New Yorker (May 10, 2017).)
- 17. Ultimately, Netflix removed this graphic, three-minute-long scene from the Show in July 2019 after years of public outcry that the scene "glorified suicide." (Watson, *Who has died* in 13 Reasons Why?, Express Online (June 12, 2020).)

# B. Netflix's widespread dissemination of its <u>Thirteen Reasons Why</u> Show was successful but concerning.

- 18. When it was released on Netflix's streaming platform in March 2017, the Show was a huge hit. It was especially popular with younger viewers, a key demographic in Netflix's sights as it was trying to maintain its streaming dominance.
- 19. Yet the Show's release was also marred by controversy. The positive buzz in some circles was stained by other views that the show glorified suicide and was morally irresponsible.

  (Gilbert, What Went Wrong With 13 Reasons Why?, The Atlantic (May 4, 2017).)

- 20. One major concern was that this unsuitable content was being "watched by young people on phones or laptops without the awareness of their parents." (Rosman, <u>Netflix Triggers Online Debate With a Show About Teen Suicide, '13 Reasons Why,</u>' The New York Times, Apr. 19, 2017).)
- 21. Nonetheless, the Show's broad exhibition was a cultural event. Twitter debates ignited.

  Parents were concerned. Teenagers imitated the Show in a variety of ways. Some painted their fingernails to imitate the Show. One high-school student recorded thirteen cassette tapes when asking a classmate to prom. (Rosman, Netflix Triggers Online Debate With a Show About Teen Suicide, '13 Reasons Why', The New York Times (Apr. 19, 2017).)
- C. Netflix is not being sued for its creation, dissemination, exhibition, advertisement, or other similar promotion of its Show, Thirteen Reasons Why.
- 22. The above allegations in paragraphs 12-21 are provided for background and context but are expressly *not* the basis of why Netflix is being sued.
- 23. Specifically, Netflix is *not* being sued because it created a Show of questionable morality that arguably glorifies teenage suicide. It is *not* being sued because it disseminated, *i.e.*, publicly broadcasted, the Show by offering it for public consumption. It is *not* being sued because it publicly exhibited this content, advertised it generally to the public, or similarly promoted it. Netflix is *not* being sued for its creation, dissemination, exhibition, advertisement, or similar promotion of its Show.
- 24. Rather, the bases of the claims against Netflix stem from something else: (1) Netflix's failure to adequately warn of its Show's, *i.e.*, its product's, dangerous features and (2) Netflix's use of its trove of individualized data about its users to specifically target vulnerable children and manipulate them into watching content that was deeply harmful to them—despite dire warnings about the likely and foreseeable consequences to such children. Both are detailed below.

- D. Experts warned Netflix in advance that its Show, <u>Thirteen Reasons Why</u>, would kill children but Netflix gave no adequate warning to viewers of this risk.
- 25. When the Show was in production, its creators consulted several mental-health professionals.
- 26. Contrary to the creators' unexamined hypothesis that depicting the ugliness and brutality of suicide would somehow deter teenage suicides, the consensus of suicide-prevention experts warns of just the opposite effect—the potential for suicide-contagion effects upon impressionable viewers. Depicting suicide as the Show does to children would likely result in deaths. Netflix was warned about this risk in advance but did not heed guidelines about how to warn of suicide-related content. (Gilbert, What Went Wrong With 13 Reasons Why?, The Atlantic (May 4, 2017).)
- 27. Specifically, Dr. Dan Reidenberg, the executive director of a nonprofit suicide-prevention organization, Suicide Awareness Voices of Education, reviewed the Show about a month or so before its release. Netflix had asked for Dr. Reidenberg's guidance. Dr. Reidenberg advised Netflix to cancel the release but was told by Netflix that it "wasn't an option." "They made that very clear to me," Dr. Reidenberg later told the press. (Eisenstadt, <u>'13 Reasons Why' is a hit, but suicide expert told Netflix not to release series</u>, Syracuse.com (Apr. 26, 2017).)
- 28. Dr. Reidenberg's concerns were not just about uncomfortable feelings and content. He was worried that the Show itself would *cause* suicides in impressionable children and lead to their deaths if they watched it. (Gilbert, *What Went Wrong With 13 Reasons Why?*, The Atlantic (May 4, 2017).)

29. Nor was Dr. Reidenberg a lone dissenting voice in the scientific community. Well before Netflix released the Show, it was well-known in the scientific community that depictions of suicide can themselves *cause* suicide in vulnerable populations:

Mental illness is not a communicable disease, but there's a strong body of evidence that suicide is still contagious. Publicity surrounding a suicide has been repeatedly and definitively linked to a subsequent increase in suicide, especially among young people.

- (E.g., Sanger-Katz, <u>The Science Behind Suicide Contagion</u>, The New York Times (Aug. 13, 2014) (emphasis added).)
- 30. Netflix failed to warn of these health risks. Netflix included some advisories but these advisories have been woefully inadequate because they do not reasonably warn of the risk that the Show could cause suicide. Some of its advisories were only added a month after the Show's release—well after an anticipated millions of children had viewed the Show.

  (Andrews, Netflix's '13 Reasons Why' gets more trigger warnings. Critics say it glamorizes teen suicide, Washington Post (May 1, 2017).) To many experts, Netflix's advisories came as too little too late. (See Grunberger, '13 Reasons Why' warning is a start, experts say, but they want more, CNN (Apr. 5, 2018).)
- 31. Even as of the filing of this Complaint, none of Netflix's advisories meaningful warn that the Show itself could cause suicide. Instead, they use vague language that a reasonable person would think merely indicates mature subject matter, rather than a real risk of genuine harm.

32. As of today, the Show displays the following advisory before the beginning of the first season:

Hi, I'm Dylan Minette and I play Clay Jensen. I'm Katherine Langford and I play Hannah Baker. I'm Justin Prentice, I play Bryce Walker. I'm Alisha Boe, I play Jessica Davis.

Thirteen Reasons Why is a fictional series that tackles tough real-world issues taking a look at sexual assault, substance abuse, suicide and more. By shedding a light on these difficult topics, we hope our show can help viewers start a conversation. But if you are struggling with these issues yourself this series may not be right for you or you may want to watch it with a trusted adult.

And if you ever feel you need someone to talk with, reach out to a parent, a friend, a school counselor or an adult you trust call a local help line or go to 13ReasonsWhy.info. Because the minute you start talking about it, it gets easier.

Among other problems, this advisory does not warn that viewing the Show could itself cause suicide, suicidal ideation, etc.

- 33. Instead, it merely suggests that there are mature themes depicted and that the presence of a trusted adult might be desirable. There is no clear indication of the foreseeable harms, rather than a suggestion that the themes may be emotional or psychologically difficult.
- Likewise, as of today, the Show's thirteenth episode displays a cursory advisory placard that reads as follows: "The following episode contains graphic depictions of suicide and violence, which some viewers may find disturbing. It is intended for mature audiences. Viewer discretion is advised." This generic language is insufficient to warn reasonable viewers that the episode is not merely mature-themed but that watching it could cause or contribute to suicide or suicidal ideations.
- 35. Worse, not all of these advisories existed at the time of the Show's release, when Netflix began targeting the Show to vulnerable users and populations. And, the fundamental problem is that these advisories fail to discuss the foreseeable risk of concrete harm to vulnerable persons. By comparison, prescription-drug labels warn of concrete risks of side effects. Cigarette-warning labels indicate risk of health effects from smoking cigarettes, not merely that "discretion is advised."

- 36. Here, without more express warnings, no reasonable person would be aware of the genuine and real health risks posed by the Show to vulnerable viewers. Without adequate warnings, Netflix did not permit its subscribers and families to make genuinely informed choices \_\_\_\_ upfront about whether the Show's content is right for them, their family, or their children.
- 37. Moreover, experts were troubled that Netflix's content suggested that seeking help for suicidal ideation is fruitless and useless whereas committing suicide may be a source of individual agency. (Todd, *Here's What 7 Mental Health Experts Really Think About '13 Reasons Why.*' SELF (May 9, 2018).) Netflix failed to give any warning or advisory about how seeking help can improve outcomes and avoid significant self-harm or suicide. Thus, Netflix failed to warn that some of its themes would inhibit impressionable and vulnerable viewers from seeking professional help for their suicidal ideation.
- 38. Furthermore, Netflix's pre-season advisory is inadequate because it fails to indicate where the most dangerous content appears in the Show. The Show becomes dramatically more graphic over the course of its first season without another warning until episode nine. Thus, the warning at the beginning of the Show followed by comparatively tame episodes would leave a reasonable parent unaware and with no easy way to figure out where the most harmful content would be found and when and how to avoid that content.
- 39. Netflix failed to warn of the dangers of its Show in another way. Netflix gave no indication of any of the warning signs associated with a high risk for suicide. By no means did Netflix frame its advisories in a way that a vulnerable child or parent would have gleaned any further understanding of the psychological differences between an intense emotional reaction to disturbing content and dangerous signs of suicidal ideation.
- 40. To this day, Netflix gives no such meaningful warning that its content can cause suicides in vulnerable children. Netflix decided to give no serious warning that its content could kill, despite having been put on notice of this risk in advance of releasing its Show.

#### E. Netflix's failure to adequately warn harmed and caused the death of many children.

- The tragic and significant costs of Netflix's decision not to adequately warn began to appear almost immediately after Netflix released the Show.
- 42. Without any meaningful warnings, families and children were largely unaware of the major health risks posed by watching the Show. They were not warned about an extremely dangerous product that was being targeted at their children.
- 43. At first, the indications of Netflix's role in the spike in child suicides was anecdotal. Then, scientists and empiricists started demonstrating empirically that widespread harm to children came from Netflix's inadequate warnings and targeting of vulnerable kids.
- 44. One alarming story came shortly after the Show's release. A school superintendent in Florida, reported that counselors, teachers, and principals reported over a dozen cases of very concerning behavior by children—a significant spike in "youth at-risk behavior <u>at the elementary and middle school levels</u> to include self-mutilation, threats of suicide, and multiple Baker Act incidents." (Strauss, <u>Schools superintendent: Students are harming themselves and citing '13 Reasons Why</u>, Washington Post (Apr. 29, 2017) (emphasis added).)
- 45. Such a result was not unforeseeable. As one leading psychiatric researcher stated: "Research shows us that the more obvious, florid, dramatic, and explicit the portrayal is as disturbing as it is to most of us, there's the potential that for some people who see it, who are really struggling with something, this winds up being in some way strangely appealing." (Grady, Critics say 13 Reasons Why has artistic merit. Suicide prevention experts say it's dangerous, Vox.com (June 9, 2017).)
- 46. Empirical research followed. It confirmed what the educators, parents, and counselors were seeing on the ground. There was a significant spike in suicides in April 2017 following the Show's release without adequate warning and with significant targeting at children. The number of Internet searches for how to commit suicide spiked at the same time that fewer children were seeking help from crisis-suicide-prevention services that connect children to mental-health resources and help avoid suicide. (Thompson et al, *Crisis Text Line use*

- following the release of Netflix series 13 Reasons Why Season 1: Time-series analysis of help-seeking behavior in youth, 14 Preventive Medicine Reports (June 2019).)
- 47. Researchers also identified that the spike in hospital admissions at a children's hospital for children suffering from self-harm stemmed from the release of the Show on Netflix's streaming service. (Cooper et al., Suicide Attempt Admissions From a Single Children's Hospital Before and After the Introduction of Netflix Series 13 Reasons Why, 63 Journal of Adolescent Health 688 (Dec. 2018).)
- 48. Subsequent research has again and again confirmed similar empirical effects on suicide rates in the United States closely correlated to the release of the Show (without adequate warnings and targeted at children). (Bridge et al., <u>Association Between the Release of Netflix's 13 Reasons Why and Suicide Rates in the United States: An Interrupted Time Series Analysis</u>, 59 Journal of the American Academy of Child & Adolescent Psychiatry 236 (Feb. 2020); Niederkrotenthaler et al., <u>Association of Increased Youth Suicides in the United States With the Release of 13 Reasons Why</u>, 76 Journal of the American Medical Association Psychiatry 933 (May 29, 2019).)
- 49. The effect was not merely domestic. For example, similar devastating impacts were identified in Canada. (E.g., Sinyoir et al., Suicides in Young People in Ontario Following the Release of "13 Reasons Why," 64 Canadian Journal of Psychiatry (Aug. 21, 2019).)

  Even empirical research sponsored and paid for by Netflix indicated troubling trends with respect to the effects of Netflix's failure to warn and targeting sizeable portions of child viewers.
- 50. All in all, the consensus of empirical research is clear: Netflix's tortious acts and omissions caused hundreds of deaths and thousands of suicide attempts.
- Netflix's tortious acts caused tragedies with respect to many children, including decedent BHH. Netflix released the Show on March 31, 2017. On information and belief, Netflix made no attempt to avoid recommending and targeting the Show, without adequate warning to vulnerable persons, such as BHH. herself. Moreover, on information and belief, Netflix made no attempt to avoid manipulating users, including minors such as BHH. to watch the Show.

- And, Netflix treated B according to its typical practices of monitoring users' activities and manipulating their viewing decisions via sophisticated, targeted recommendation algorithms. That is, Netflix used its data about B to recommend the show to her, to manipulate her into watching it.
- Signs would be if she began suffering those health risks. In sum, Netflix never provided a warning of the health risks of watching the Show when using sophisticated, targeted recommendation systems to manipulate the viewing behaviors of minors and to push its dangerous product, *i.e.*, the Show, on minors, such as Barrell Harman.
- F. Netflix used unprecedented levels of data collection, algorithmic data processing, and analytical insights to precisely target some of the most vulnerable members in society with traumatic content that had no adequate warning.
- 54. It cannot be emphasized enough that what Netflix did was entirely different than merely put a book on library bookshelves or put a show on TV. A Netflix engineering director put it best when describing Netflix's capabilities with respect to its users in 2013:

We know what you played, searched for, or rated, as well as the time, date, and device. We even track user interactions such as browsing or scrolling behavior.

(Vanderbilt, The Science Behind the Netflix Algorithms That Decide What You'll Watch Next, Wired (Aug. 7, 2013) (interview with Netflix's engineering director, Xavier Amtraiain, describing how "how they control what you watch" (emphasis added)).)

55. As of 2013, several years before Netflix released the Show on its steaming services, its recommendation engine and algorithms already controlled and actively manipulated the vast majority of what its users decide to watch such that "75 percent of viewer activity is driven by" Netflix's targeted recommendation systems. (*Ibid.*)

- Netflix helps users find shows or movies with minimal effort by utilizing algorithms to personalize the user experience. Netflix's algorithms achieve these personalized recommendations by considering factors like viewing history, time of day a user watches, devices watched on, how long a viewer watches, and information about the titles watched. (Netflix, *How Netflix's Recommendations System Works*, Netflix Help Center (last accessed Apr. 30, 2021).)
- 57. Netflix has access to nearly limitless data about its users through its online streaming service. Netflix feeds this information into the Netflix Recommender System, *i.e.*, a series of algorithms that personalize the viewer experience to improve Netflix's viewer retention rate. Netflix achieves 80% of its stream time utilizing its Recommender System. (Chong, Deep Dive into Netflix's Recommender System, towards data science (Apr. 30, 2020).)
- ss. Indeed, there is no reason to believe that Netflix treated B H any differently, or any of the children targeted and manipulated in watching the Show, than the rest of the users on Netflix's platform.
- 59. In accordance with Netflix's practices, Netflix watched B 's browsing and scrolling behavior, tracking them so that Netflix could manipulate and control what content she would watch on the Netflix streaming service. In accordance with Netflix's practices, Netflix watched the time, date, and devices on which B used Netflix's streaming services, tracking them so that Netflix could manipulate and control what content she would watch on the Netflix streaming service.
- 60. Netflix is, in fact proud of its ability to control what its viewers will watch:

Netflix @ @netflix · Aug 8, 2013 ...

About 75% of Netflix viewing is driven by the recommendation algorithm: wired.com/underwire/2013... via @WIRED

Q 28 t3 64 © 68 t

- 61. Given that Netflix itself estimates that "75 percent of viewer activity is driven" by Netflix's sophisticated, targeted recommendation systems, it is likely that Netflix successfully manipulated B Herndon's viewing selections when she used Netflix's streaming services. Netflix targeted and manipulated B 's viewing choices, and thereby exposed her to the dangerous health risks associated with watching the Show.
- 62. After watching the Show during the month of April, B experienced emotional and psychological distress and harm.
- G. Only after hundreds of children died and after thousands were harmed did Netflix removed its most gratuitous scene of violent suicide, having never warned of the harm it could cause while targeting children directly with that content.
- 63. After the Show was released without warning and targeted to vulnerable populations, mental health experts worried that the failure to warn coupled with the "graphic depiction of Hannah's suicide might function as a how-to guide." (Grady, 13 Reasons Why takes a voyeuristic lens to rape and suicide, with complicated results, Vox.com (May 1, 2017).)
- 64. After the empirical evidence of widespread harm mounted; after report after report of tragedy for families and children; after child-welfare and suicide-prevention advocates and experts expressed their outrage, Netflix removed the scene that was causing the most harm from the Show.
- 65. Ultimately, Netflix simply decided to remove its most dangerous content, having never meaningfully warned of the health risks:

The original, nearly three-minute-long scene — which is no longer available on Netflix — aired midway through the season one finale. It depicted breakout star Katherine Langford's Hannah assessing her life in the mirror before she is depicted sitting in a bathtub, tear on her cheek, taking a razor blade to her left wrist and piercing the skin. The camera then holds on the character as she shrieks in pain as blood gushes from an increasingly long cut that extends nearly up to her elbow. Hannah is then seen gasping for air as her breathing ultimately slows and bloodstained water tips out of the tub. Not long after, Hannah's mother (Kate Walsh) discovers her daughter's lifeless body in the blood-filled tub. Male lead Dylan Minnette provides voiceover during the entire scene as he tells the school's guidance counselor (played by Derek Luke) precisely what happened to Hannah.

[...]

The new scene, which has been updated on the Netflix site, features Hannah looking at herself in the mirror before cutting to her parents' reaction to her suicide. There is no longer any depiction of the character taking a razor blade to her wrists and the immediate aftermath.

(Goldberg, <u>Netflix Alters Graphic '13 Reasons Why' Suicide Scene After Controversy, The Hollywood Reporter</u> (July 15, 2019).)

- been done. As one example, on April 28, 2017, I "B" "H" fell victim to suicide. B" H" fell victim to the very health risk that medical experts and suicide-prevention experts had warned Netflix about regarding the Show. B" H" was one of many suicides predicted before the Show's release. B" I was a victim of the well-documented, unnatural 28.9% spike in child suicides that occurred after the Show's debut specifically during the month of April 2017.
- 67. But Harmon was laid to rest at the age of 16 at Saint Charles Borromeo Church in Livermore, California on May 15, 2017.

#### V. CLASS ACTION ALLEGATIONS

- 68. The claims asserted herein are appropriate for resolution through a class action. Not only are the claims susceptible for class resolution, but it is also important that they are adjudicated on a class basis, both because the claims require expertise and the members of the class have, on information and belief, faced significant challenges accessing legal representation. It is at least known that the Herndon family has faced significant barriers to legal representation.
  - a. As an initial matter, there are complexities to the case that are significant. The claims involve issues of suicide, suicidal ideation, psychological trauma, as well as larger questions about teenage psychology underlying population awareness of warning signs of suicide and interpretation of advisories, etc. These complex issues are better resolved through a class vehicle rather than burdening each class member and their individualized counsel (if they are able to retain one) with extensive litigation and re-litigation on those questions.
  - b. What is more, there is substantial technological and algorithmic complexity of Netflix's targeting, recommendation, and manipulation activities—requiring certain levels of expertise and dedication to meaningfully understand. Again, these complexities weigh in strong favor of class resolution because requiring individual plaintiffs to discover the essential issues, comprehend them, try them, etc., would be extraordinarily expensive and consume significant amounts of time.
  - c. Finally, the Herndons have faced substantial barriers to finding any lawyer who was both willing and able to represent them in this case. In all likelihood, so have the remaining members of the classes. There have been very real access-to-counsel issues for aggrieved families suffering from Netflix's tortious actions.

These reasons favoring class adjudication run the gamut: abstract questions of justice and fairness; pragmatic synergies and efficiencies in the conduct of the litigation and discovery, and the harsh realities of access to law for public-interest cases in contemporary society for everyday Americans. All favor class adjudication.

- 69. Here, as a result of Netflix's inadequate warnings, Netflix caused the death of an estimated hundreds, possibly a thousand, children who committed suicide since the release of the Show, with their many survivors, heirs, etc., holding viable claims. Beyond those who died, there are many more who suffered substantial trauma at the hands of callous business decisions that prioritized reaching certain business milestones over the safety of Netflix's customers. In this situation, the technology is a double-edge sword. Although it permitted the targeting and manipulation of very vulnerable persons, it also permits the class to be ascertained with greater ease. Thus, the classes are both ascertainable and numerous.
- 70. Common questions of law and fact predominate here. The central thread throughout is Netflix's tortious actions and omissions, both its decisions not to adequately warn and to target and manipulate vulnerable persons. Nearly every legal and factual question in the case appears, at this juncture, susceptible for class-wide adjudication. Therefore, there exists a well-defined community of interest that would be highly impracticable absent class adjudication.
- Having lost a sibling to suicide as a result of Netflix's failure to provide adequate warning,

  The and Market Have have claims typical of the class of plaintiffs who may assert a

  wrongful death claim for having lost a family member. The and Market Have may
  adequately represent this class. Having lost a minor child to suicide as a result of Netflix's
  failure to provide adequate warning, John Herndon has claims typical of class of plaintiffs
  who may still assert a survival action. John Herndon may adequately represent this class.
- 72. The claims here meet the requirements for class-adjudication. In fact, a number of compelling reasons militate in favor of class-certification.

#### VI. CAUSES OF ACTION

### <u>First Cause of Action</u> Strict Liability—Failure To Warn

- 73. PLAINTIFFS, the Estate of decedent I "B" "H" and decedent's surviving father, John Herndon, hereby repeat and reallege the paragraphs alleged above, on behalf of themselves and all others similarly situated. These allegations expressly include the clarifications about what is not the bases of these claims. See ¶¶ 12-21.
- 74. Netflix manufactured, distributed and/or sold a product, *i.e.*, its Show, Thirteen Reasons Why, and continues to do so. This cause of action does not arise from Netflix's manufacture or creation of the Show, but rather from its targeted distribution of the Show to vulnerable children as well as its sale of the Show without adequate warnings, as part of a subscription package on its streaming service.
- 75. The Show posed serious health risks that were known to or reasonably knowable by Netflix. Indeed, such health risks had been brought to Netflix's attention prior to the Show's release. The foreseeable health risks of such behavior have been extensively documented by the medical, scientific, and suicide-prevention communities.
- 76. Ordinary consumers would not have recognized or been aware of the health risks absent an adequate warning. Ordinary consumers would not recognize or be aware of these health risks even after viewing Netflix's later-added advisories. The advisories merely suggest potential discomfort that may result from mature themes and give no indication of the known health risks caused by the Show.
- 77. Netflix failed to adequately warn children and their families of the health risks of viewing its Show. As a result of the lack of adequate warning, decedent B H and those similarly situated to her were tortiously harmed. Children viewers targeted by Netflix and their adult parents/guardians were not informed that watching the Show could cause or contribute to suicide or suicidal ideations.

WHEREFORE, the aforementioned PLAINTIFFs demand judgment against Defendant Netflix for whatever amount to be determined by a jury after trial, including but not limited to compensatory damages, such as, medical bills, lost wages, lost earning capacity, and pain and suffering and, if applicable, punitive damages, costs, fees, and all other possible relief. To the extent permissible, declaratory relief is also sought.

### Second Cause of Action Wrongful Death

- PLAINTIFFS, decedent Base Harman's brothers, James "Market" Harman and Table
  Plant Harman, both minors, hereby repeat and reallege the paragraphs alleged above, on
  behalf of themselves and all others similarly situated. These allegations expressly include
  the clarifications about what is not the bases of these claims. See ¶¶ 12-21.
- As a direct, proximate, and legal result of Netflix's negligent and intentional acts and omissions, B and those similarly situated died. Netflix caused these deaths through its tortious, negligent, and/or reckless behaviors, including through the tortious targeting of vulnerable persons with the Show, manipulating their viewing behaviors, and without providing fair warning of the health risks associated with the Show. As a direct, proximate, and legal result of Netflix's failure to warn, decedents suffered injuries that resulted in their deaths. As a direct, proximate, and legal result of Netflix's tortious acts of targeting dangerous materials at vulnerable populations, Netflix caused decedents' deaths.
- 80. As a direct, legal, and proximate result of Netflix's negligent and intentional acts and omissions, aforementioned Plaintiffs have suffered a loss of love, companionship, comfort, affection, society, solace, training and/or moral support and are entitled to damages pursuant to Code of Civil Procedure § 377.60, et seq.
- WHEREFORE, the aforementioned PLAINTIFFs demand judgment against Defendant Netflix and are entitled to recover wrongful death damages pursuant to California Code of Civil Procedure §377.61, including but not limited to, both economic and non economic compensatory damages, such as: the loss of financial support the decedent would have contributed to the family, the loss of

gifts or benefits plaintiff would have expected to receive from decedent, funeral and burial expenses, the reasonable value of household service decedent would have provided, as well as, a loss of love, companionship, comfort, affection, society, solace, training and/or moral support. To the extent permissible, declaratory relief is also sought.

## Third Cause of Action Negligence

- As a direct, proximate, and legal result of Netflix's negligent and intentional acts and omissions, B and those similarly situated died. Netflix caused these deaths through its tortious, negligent, and/or reckless behaviors, including through the tortious targeting of vulnerable persons with the Show, manipulating their viewing behaviors, and without providing fair warning of the health risks associated with the Show. As a direct, proximate, and legal result of Netflix's failure to warn, decedents suffered injuries that resulted in their deaths. As a direct, proximate, and legal result of Netflix's tortious acts of targeting dangerous materials at vulnerable populations, Netflix caused decedents' deaths.
- 82. PLAINTIFFS, the Estate of decedent I "B" "H" and decedent's surviving father, John Herndon, hereby repeat and reallege the paragraphs alleged above, on behalf of themselves and all others similarly situated. These allegations expressly include the clarifications about what is not the bases of these claims. See ¶¶ 12-21.
- 83. Defendant Netflix negligently, carelessly, and/or recklessly failed to warn of the health risks associated with viewing the Show. Such health risks had been brought to Netflix's attention prior to the Show's release. The foreseeable health risks of such behavior have been extensively documented by the medical, scientific, and suicide-prevention communities. Nevertheless, Netflix did not provide adequate or reasonable warnings of the health risks associated with viewing the Show.
- 84. Defendant Netflix negligently, carelessly, and/or recklessly specifically targeted the show to vulnerable populations, including decedent B and those similarly situated.

- and/or significantly contributed to the death of decedent B H and those similarly situated.
- 86. As a direct and legal result of the said wrongful conduct and/or omissions of Defendant Netflix, Plaintiffs suffered substantial harm.

WHEREFORE, PLAINTIFFS demand judgment against DEFENDANT Netflix for whatever for whatever amount to be determined by a jury after trial, including but not limited to punitive damages, economic compensatory damages, and/or non-economic compensatory damages. To the extent permissible, declaratory relief is also sought.

#### VII. DEMAND FOR TRIAL BY JURY

87. Plaintiffs hereby demand a trial by jury of all issues so triable.

1	DATED: April 30, 2021	Respectfully submitted,
2		/s/ Ryan Hamilton
3		Ryan Hamilton (Bar No. 291349) HAMILTON LAW LLC
4		5125 South Durango, Suite C
5		Las Vegas, Nevada 89113 (702) 818-1818
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25		701 Pennsylvania Avenue Northwest, Apt. 1003 Washington, District of Columbia 20004
26		(714) 722-5658
		jimbanker@gmail.com
27		Attorneys for Plaintiffs
28		
		- 24 -

Complaint

		SUM-100
SUMMONS (CITACION JUDICIAL)		FOR COURT USE ONLY FILE BOLO PARA USO DE LA CORTE)
		erk of Court
NOTICE TO DEFENDANT:		
(AVISO AL DEMANDADO):		perior Court of CA,
Netflix, Inc.		unty of Santa Člara
YOU ARE BEING SUED BY PLAINTIFF:		CV382518
(LO ESTÁ DEMANDANDO EL DEMANDANTE):	Re	viewed By: A. Rodriguez
The Estate of Indiana "Balla" Harrisa, John Herndon, James	En	velope: 6743842
"Makes" Harris, a minor, Take Phillip Harris, a minor.		•
NOTICE! You have been sued. The court may decide against you without your being heard unless you below.	ou respond	within 30 days. Road the information
served on the plaintiff. A letter or phone call will not protect you. Your written response must be in procase. There may be a court form that you can use for your response. You can find these court forms Online Self-Help Center (www.courtinfo.ca.gov/selfhelp), your county law library, or the courthouse in court clork for a fee waiver form. If you do not file your response on time, you may lose the case by do be taken without further warning from the court.  There are other legal requirements. You may want to call an atterney right away. If you do not kno referral service. If you cannot afford an atterney, you may be eligible for free legal services from a not these nonprofit groups at the California Legal Services Web site (www.lawhelpcalifornia.org), the Cal (www.courtinfo.ca.gov/selfhelp), or by contacting your local court or county bar association. NOTE: T costs on any settlement or arbitration award of \$10,000 or more in a civil case. The court's lien must invisor to han demandade. Si no responde dentro de 30 dias, la corte puede decidir en su contra secontinuación.  Tiene 30 DIAS DE CALENDARIO después de que le entreguen esta citación y papeles legales per	and more pearest you default, and we an attorn enprofit lega- lifornia Cou 'he court ha be paid be sin escuche	information at the California Courts . If you cannot pay the filing fee, ask the I your wagos, monoy, and property may may, you may want to call an attorncy al services program. You can locate urts Online Self-Help Center as a statutory lien for waived fees and fore the court will dismiss the case. ar su version. Lea la Información a
corte y hacer que se entregue una copia al demandante. Una carta o una llamada telefónica no lo pren formato logal correcto si desoa quo procesen su caso en la corte. Es posible que haya un formula Puede encontrar estos formularios de la corte y más información en el Centro de Ayuda de las Corte biblioteca de leyes de su condado o en la corte que le quede más cerca. Si no puede pagar la cuota le dé un formulario de exención de pago de cuotas. Si no presenta su respuesta a tiempo, puede per quitar su sueldo, dinero y blenes sin más advertencia.  Hay otros requisitos legales. Es recomendable que llame a un abogado inmediatamente. Si no con	ario que us es de Califo de presen rder el cas noce a un	tod pueda usar para su respuesta. ornia (www.sucorte.ca.gov), en la tación, pida al secretario de la corte que o por incumplimiento y la corte le podrá abogado, puede llamar a un servicio de
remisión a abogados. Si no puede pagar a un abogado, es posible que cumpla con los requisitos par programa de servicios legales sin fines de lucro. Puede encontrar estos grupos sin fines de lucro en (www.lawhelpcalifornia.org), en el Centro de Ayuda de las Cortes de California, (www.sucorte.ca.gov cologio de abogados localos. AVISO: Por ley, la corte tione derecho a reclamar las cuotas y los cost cualquier recuperación de \$10,000 ó más de valor recibida mediante un acuerdo o una concesión de pagar el gravamen de la corte antes de que la corte pueda desechar el caso.	el sitio wei v) o ponién os exentos	b de California Legal Services, dose en contacto con la corte o el por imponer un gravamen sobre
The name and address of the court is: (El nombre y dirección de la corte es): Superior Court of California, County of Santa		UMBER: (Número del Caso): 1CV382518

(El nombre y dirección de la Clara, 191 North First Street, San Jose, CA 95113

The name, address, and telephone number of plaintiffs attorney, or plaintiff without an attorney, is: (El nombre, la dirección y el número de teléfono del abogado del demandante, o del demandante que no tiene abogado, es):

Ryan A. Hamilton, Esq., 5125 South Durango Drive, Suite C, Las Vegas, Nevada 89113

DATE: June 22, 202 6/29/2021 9:01 AM (Fecha)

Clerk of Courterk, by (Secretario)

A. Rodriguez

. Deputy (Adjunto)

Fo <i>r proof of service of this summons, use</i> Proof of Service of Summons <i>(form POS-010).)</i> Para prueba de entrega de esta citatión use el formulario  Proof of Service of Summons, <i>(POS-010)).</i>			
ISEALI	NOTICE TO THE PERSON SERVED: You are served		
000	1. as an individual defendant.		
2. as the person sued under the fictitious name of (specify):			
	3. x on behalf of (specify): Netflix Inc.		
	under: X CCP 416.10 (corporation)	CCP 416.60 (minor)	
	CCP 416.20 (defunct corporation)	CCP 416.70 (conservatee)	
A SHOW	CCP 416.40 (association or partnership)	CCP 416.90 (authorized person)	
OCTIFORE !	other (specify):		
aural chir	4. by personal delivery on (date):		

Page 1 of 1

## SUPERIOR COURT OF CALIFORNIA, COUNTY OF SANTA CLARA

191 N. FIRST STREET

SAN JOSE, CA 95113-1090 Electronically Filed

by Superior Court of CA, County of Santa Clara, on 7/7/2021 12:54 PM

Reviewed By: R. Walker

RE: The Estate of I "Black" H et al. v. Netflix, Inc.

CASE NUMBER: 21CV382518 Case #21CV382518

Envelope: 6795313

## ORDER DEEMING CASE COMPLEX AND STAYING DISCOVERY AND RESPONSIVE PLEADING DEADLINE

WHEREAS, the Complaint was filed by Plaintiffs **THE ESTATE OF IS** "Barra" "Bar

#### IT IS HEREBY ORDERED that:

TO:

**FILE COPY** 

The Court determines that the above-referenced case is **COMPLEX** within the meaning of California Rules of Court 3.400. The matter remains assigned, for all purposes, including discovery and trial, to Department 1 (Complex Civil Litigation), the **Honorable Sunil R. Kulkarni** presiding.

The parties are directed to the Court's local rules and guidelines regarding electronic filing and to the Complex Civil Guidelines, which are available on the Court's website.

Pursuant to California Rules of Court, Rule 3.254, the creation and maintenance of the Master Service List shall be under the auspices of (1) Plaintiff THE ESTATE OF THE THE TIME "HE THE TIME", as the first-named party in the Complaint, and (2) the first-named party in each Cross-Complaint, if any.

Pursuant to Government Code section 70616(c), each party's complex case fee is due within ten (10) calendar days of this date.

Plaintiff shall serve a copy of this Order on all parties forthwith and file a proof of service within seven (7) days of service.

Any party objecting to the complex designation must file an objection and proof of service within ten (10) days of service of this Order. Any response to the objection must be filed within seven (7) days of service of the objection. The Court will make its ruling on the submitted pleadings.

The Case Management Conference remains set for <u>September 9, 2021 at 2:30 p.m. in</u>

Department 1 and all counsel are ordered to attend by CourtCall.

Counsel for all parties are ordered to meet and confer in person at least 15 days prior to the First Case Management Conference and discuss the following issues:

- 1. Issues related to recusal or disqualification;
- 2. Issues of law that, if considered by the Court, may simplify or further resolution of the case, including issues regarding choice of law;
- 3. Appropriate alternative dispute resolution (ADR), for example, mediation, mandatory settlement conference, arbitration, mini-trial:
- 4. A plan for preservation of evidence and a uniform system for identification of documents throughout the course of this litigation;
- 5. A plan for document disclosure/production and additional discovery; which will generally be conducted under court supervision and by court order;

- 6. Whether it is advisable to address discovery in phases so that information needed to conduct meaningful ADR is obtained early in the case (counsel should consider whether they will stipulated to limited merits discovery in advance of certification proceedings), allowing the option to complete discovery if ADR efforts are unsuccessful;
- 7. Any issues involving the protection of evidence and confidentiality;
- 8. The handling of any potential publicity issues;

Counsel for Plaintiff is to take the lead in preparing a Joint Case Management Conference Statement to be filed 5 calendar days prior to the First Case Management Conference, and include the following:

- 1. a brief objective summary of the case;
- 2. a summary of any orders from prior case management conferences and the progress of the parties' compliance with said orders;
- 3. significant procedural and practical problems that may likely be encountered;
- 4. suggestions for efficient management, including a proposed timeline of key events; and
- 5. any other special consideration to assist the court in determining an effective case management plan.

To the extent the parties are unable to agree on the matters to be addressed in the Joint Case Management Conference Statement, the positions of each party or of various parties should be set forth separately and attached to this report as addenda. The parties are encouraged to propose, either jointly or separately, any approaches to case management they believe will promote the fair and efficient handling of this case. The Court is particularly interested in identifying potentially dispositive or significant threshold issues the early resolution of which may assist in moving the case toward effective ADR and/or a final disposition.

STAY ON DISCOVERY AND RESPONSIVE PLEADING DEADLINE Pending further order of this Court, the service of discovery and the obligation to respond to any outstanding discovery is stayed. However, Defendant(s) shall file a Notice of Appearance for purposes of identification of counsel and preparation of a service list. The filing of such a Notice of Appearance shall be without prejudice to the later filing of a motion to quash to contest jurisdiction. Parties shall not file or serve responsive pleadings, including answers to the complaint, motions to strike, demurrers, motions for change of venue and cross-complaints until a date is set at the First Case Management Conference for such filings and hearings.

This Order is issued to assist the Court and the parties in the management of this "Complex" case through the development of an orderly schedule for briefing and hearings. This Order shall not preclude the parties from continuing to informally exchange documents that may assist in their initial evaluation of the issues presented in this Case.

Plaintiff shall serve a copy of this Order on all the parties in this matter forthwith.

SO ORDERED.

Date: \_\_ July 7, 2021

Hon. **Sunil R. Kulkarni**Judge of the Superior Court

If you, a party represented by you, or a witness to be called on behalf of that party need an accommodation under the American with Disabilities Act, please contact the Court Administrator's office at (408) 882-2700, or use the Court's TDD line, (408) 882-2690 or the Voice/TDD California Relay Service, (800) 735-2922.

## SUPERIOR COURT OF CALIFORNIA, COUNTY OF SANTA CLARA 191 N. FIRST STREET

SAN JOSE, CA 95113-1090

FILED

July 2, 2021

Clerk of The Court Superior Court of CA County of Santa Clara

21CV382518

Bv: rwalker

TO: FILE COPY

RE:

The Estate of I

В H , et al. v. Netflix, Inc.

CASE NUMBER:

21CV382518

#### ORDER AND NOTICE OF REASSIGNMENT OF CASE

A review of the above-referenced matter has determined that the Complaint was filed as a proposed class action. Accordingly, reassignment to the Complex Division is appropriate and this matter shall be, and is, reassigned for all purposes, including discovery, law & motion, settlement conference, and trial, to Department 1 (Complex Civil Litigation), the HONORABLE SUNIL R. KULKARNI presiding.

The Case Management Conference is reset from September 7, 2021 to September 9, 2021 at 2:30 p.m. in Department 1.

Please contact the Complex Civil Litigation Department, (408) 882-2286, if you have any questions.

Date Issued: July 2, 2021

Honorable Beth McGowen Civil Supervising Judge

If you, a party represented by you, or a witness to be called on behalf of that party need an accommodation under the American with Disabilities Act, please contact the Court Administrator's office at (408) 882-2700, or use the Court's TDD linc, (408) 882-2690 or the Voice/TDD California Relay Service, (800) 735-2922.

#### Case 5:21-cv-06561 Document 3-1 Filed 08/25/21 Page 98 of 110

## SANTA CLARA COUNTY SUPERIOR COURT ALTERNATIVE DISPUTE RESOLUTION INFORMATION SHEET

Many cases can be resolved to the satisfaction of all parties without the necessity of traditional litigation, which can be expensive, time consuming, and stressful. The Court finds that it is in the best interests of the parties that they participate in alternatives to traditional litigation, including arbitration, mediation, neutral evaluation, special masters and referees, and settlement conferences. Therefore, all matters shall be referred to an appropriate form of Alternative Dispute Resolution (ADR) before they are set for trial, unless there is good cause to dispense with the ADR requirement.

#### What is ADR?

ADR is the general term for a wide variety of dispute resolution processes that are alternatives to litigation. Types of ADR processes include mediation, arbitration, neutral evaluation, special masters and referees, and settlement conferences, among others forms.

#### What are the advantages of choosing ADR instead of litigation?

ADR can have a number of advantages over litigation:

- ADR can save time. A dispute can be resolved in a matter of months, or even weeks, while litigation can take years.
- ADR can save money. Attorney's fees, court costs, and expert fees can be reduced or avoided altogether.
- ADR provides more participation. Parties have more opportunities with ADR to express their interests and concerns, instead
  of focusing exclusively on legal rights.
- ADR provides more control and flexibility. Parties can choose the ADR process that is most likely to bring a satisfactory
  resolution to their dispute.
- ADR can reduce stress. ADR encourages cooperation and communication, while discouraging the adversarial atmosphere of litigation. Surveys of parties who have participated in an ADR process have found much greater catisfaction than with parties who have gone through litigation.

#### What are the main forms of ADR offered by the Court?

**Mediation** is an informal, confidential, flexible and non-binding process in the mediator helps the parties to understand the interests of everyone involved, and their practical and legal choices. The mediator helps the parties to communicate better, explore legal and practical settlement options, and reach an acceptable solution of the problem. The mediator does not decide the solution to the dispute; the parties do.

Mediation may be appropriate when:

- The parties want a non-adversary procedure
- The parties have a continuing business or personal relationship
- Communication problems are interfering with a resolution
- There is an emotional element involved
- The parties are interested in an injunction, consent decree, or other form of equitable relief

**Neutral evaluation**, sometimes called "Early Neutral Evaluation" or "ENE", is an informal process in which the evaluator, an experienced neutral lawyer, hears a compact presentation of both sides of the case, gives a non-binding assessment of the strengths and weaknesses on each side, and predicts the likely outcome. The evaluator can help parties to identify issues, propare stipulations, and draft discovery plans. The parties may use the neutral's evaluation to discuss settlement.

Neutral evaluation may be appropriate when:

- The parties are far apart in their view of the law or value of the case
- The case involves a technical issue in which the evaluator has expertise
- Case planning assistance would be helpful and would save legal fees and costs
- The parties are interested in an injunction, consent decree, or other form of equitable relief

-over-

Arbitration is a less formal process than a trial, with no jury. The arbitrator hears the evidence and arguments of the parties and then makes a written decision. The parties can agree to binding or non-binding arbitration. In binding arbitration, the arbitrator's decision is final and completely resolves the case, without the opportunity for appeal. In non-binding arbitration, the arbitrator's decision could resolve the case, without the opportunity for appeal, unless a party timely rejects the arbitrator's decision within 30 days and requests a trial. Private arbitrators are allowed to charge for their time.

Arbitration may be appropriate when:

- The action is for personal injury, property damage, or breach of contract
- Only monetary damages are sought
- Witness testimony, under oath, needs to be evaluated
- An advisory opinion is sought from an experienced litigator (if a non-binding arbitration)

Civil Judge ADR allows parties to have a mediation or settlement conference with an experienced judge of the Superior Court. Mediation is an informal, confidential, flexible and non-binding process in which the judge helps the parties to understand the interests of everyone involved, and their practical and legal choices. A settlement conference is an informal process in which the judge meets with the parties or their attorneys, hears the facts of the dispute, helps identify issues to be resolved, and normally suggests a resolution that the parties may accept or use as a basis for further negotiations. The request for mediation or sottlement conference may be made promptly by stipulation (agreement) upon the filing of the Civil complaint and the answer. There is no charge for this service.

Civil Judge ADR may be appropriate when:

- The parties have complex facts to review
- The case involves multiple parties and problems
- The courthouse surroundings would be helpful to the settlement process

**Special masters and referees** are neutral parties who may be appointed by the court to obtain information or to make specific fact findings that may lead to a resolution of a dispute.

Special masters and referees can be particularly effective in complex cases with a number of parties, like construction disputes.

Settlement conferences are informal processes in which the neutral (a judge or an experienced attorney) meets with the parties or their attorneys, hears the facts of the dispute, helps identify issues to be resolved, and normally suggests a resolution that the parties may accept or use as a basis for further negotiations.

Settlement conferences can be effective when the authority or expertise of the judge or experienced attorney may help the parties reach a resolution.

#### What kind of disputes can be resolved by ADR?

Although some disputes must go to court, almost any dispute can be resolved through ADR. This includes disputes involving business matters; civil rights; collections; corporations; construction; consumor protoction; contracts; copyrights; defamation; disabilities; discrimination; employment; environmental problems; fraud; harassment; health care; housing; insurance; intellectual property; labor; landlord/tenant; media; medical malpractice and other professional negligence; neighborhood problems; partnerships; patents; personal injury; probate; product liability; property damage; real estate; securities; sports; trade secret; and wrongful death, among other matters.

Where can you get assistance with selecting an appropriate form of ADR and a neutral for your case, information about ADR procedures, or answers to other questions about ADR?

#### Contact:

Santa Clara County Superior Court ADR Administrator 408-882-2530

FOR COURT USE ONLY
ope: 7118418
MBER: 82518
02310
OFFICER:
unil R. Kulkarni

NOTE: This cover sheet is to be used to electronically file and submit to the court a proposed order. The proposed order sent electronically to the court must be in PDF format and must be attached to this cover sheet. In addition, a version of the proposed order in an editable word-processing format must be sent to the court at the same time as this cover sheet and the attached proposed order in PDF format are filed.

- 1. Name of the party submitting the proposed order: Netflix, Inc.
- 2. Title of the proposed order: Stipulation and [Proposed] Order
- 3. The proceeding to which the proposed order relates is:
  - a. Description of proceeding: First Case Management Conference
  - b. Date and time: September 9, 2021 @ 2:30 p.m.
  - c. Place: Dept. 001
- 4. The proposed order was served on the other parties in the case.

Blanca F. Young /s/ Blanca F. Young (TYPE OR PRINT NAME) (SIGNATURE OF PARTY OR ATTORNEY)

**EFS-020** 

CACE NAME.	CACE NUMBER.
CASE NAME:	CASE NUMBER:
The Estate of I Barrer "Black" Harris v. Netflix, Inc.	21CV382518

## PROOF OF ELECTRONIC SERVICE

FROPOSED ORDER		
1.	I am at least 18 years old and <b>not a party to this action.</b>	
	a. My residence or business address is (specify):	
	b. My electronic service address is (specify):	
2.	I electronically served the <i>Proposed Order (Cover Sheet)</i> with a proposed order in PDF format attached, and a proposed order in an editable word-processing format as follows:	
	a. On (name of person served) (If the person served is an attorney, the party or parties represented should also be stated.):	
	<ul><li>b. To (electronic service address of person served):</li><li>c. On (date):</li></ul>	
	Electronic service of the <i>Proposed Order (Cover Sheet)</i> with the attached proposed order in PDF format and service of the proposed order in an editable word-processing format on additional persons are described in an attachment.	
I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.  Date:		
_	(TYPE OR PRINT NAME OF DECLARANT) (SIGNATURE OF DECLARANT)	

#### **Envelope: 7118418** 1 BLANCA F. YOUNG (State Bar No. 217533) blanca.young@mto.com MUNGER, TOLLES & OLSON LLP 560 Mission Street, Twenty-Seventh Floor San Francisco, CA 94105-2907 3 Telephone: (415) 512-4000 Facsimile: (415) 512-4077 4 5 JENNIFER L. BRYANT (State Bar No. 293371) Jennifer.Bryant@mto.com 6 CORY M. BATZA (State Bar No. 318612) Cory.Batza@mto.com MUNGER, TOLLES & OLSON LLP 350 South Grand Avenue Fiftieth Floor Los Angeles, California 90071-3426 9 Telephone: (213) 683-9100 Facsimile: (213) 687-3702 10 Attorneys for NETFLIX, INC. 11 12 SUPERIOR COURT OF THE STATE OF CALIFORNIA 13 COUNTY OF SANTA CLARA 14 15 THE ESTATE OF I Case No. 21CV382518 16 , JOHN <u>HERNDO</u>N, . STIPULATION AND [PROPOSED] , a minor, T 17 **ORDER** , a minor, on behalf of themselves and all others similarly situated, 18 Judge: Hon. Sunil R. Kulkarni Plaintiff, Dept.: 001 19 VS. 20 NETFLIX, INC., 21 Defendant. 22 23 24 25 26 27 28

1	Plaintiffs the Estate of I "B" "H" "John Herndon, J" "M" "M" ""		
2	Hand, a minor, and Table Plant Hand, a minor ("Plaintiffs"), and Defendant Netflix, Inc.		
3	("Netflix") (collectively referred to herein as "the Parties"), by and through their respective		
4	attorneys of record, submit the following stipulation:		
5	1. WHEREAS, on April 30, 2021, Plaintiffs filed this putative class action, on behalf		
6	of themselves and all others similarly situated;		
7	2. WHEREAS, on July 7, 2021, this Court issued its Order Deeming Case Complex		
8	and Staying Discovery and Responsive Pleading Deadline ordering the Parties "to meet and confer		
9	in person at least 15 days prior to the First Case Management Conference";		
10	3. WHEREAS, in light of the ongoing global pandemic, the Parties respectfully		
11	request that the meet and confer prior to the First Case Management Conference take place		
12	remotely via videoconference.		
13	IT IS SO STIPULATED.		
14			
15	DATED: August 23, 2021 MUNGER, TOLLES & OLSON LLP		
16			
17			
18	By: /s/ Blanca F. Young		
19	BLANCA F. YOUNG Attorneys for Defendant NETFLIX, Inc.		
20			
21	DATED: August 23, 2021 DIGITAL JUSTICE FOUNDATION, et al.		
22			
23			
24	By: /s/ Ryan A. Hamilton		
25	RYAN A. HAMILTON Attorneys for Plaintiffs THE ESTATE OF I		
26	"HERNDON, J "MENTED" HERNDON, a minor, T		
27	Hand a minor		
28			

1		<u> [P]</u>	ROPOSED  ORDER
2	Having reviewed the Parties' Stipulation, above, and good cause appearing therefore, the		
3	Court finds that, in light of the ongoing global pandemic, the Parties may meet and confer prior to		
4	the First Case Management Conference remotely via videoconference.		
5	IT	IS SO ORDERED.	
6			
7		August 24, 2021	
8	DATED:	_	
9			HON. SUNIL R. KULKARNI JUDGE OF THE SUPERIOR COURT
10			
11		Generally, the parties can	discharge their meet and confer obligations
12	by meeting in person, having a phone call, or having a videoconference.  The Court normally will not mandate any particular option.		
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1 PROOF OF SERVICE 2 STATE OF CALIFORNIA, COUNTY OF LOS ANGELES 3 At the time of service, I was over 18 years of age and **not a party to this action**. I am employed in the County of Los Angeles, State of California. My business address is 350 South 4 Grand Avenue, Fiftieth Floor, Los Angeles, CA 90071-3426. 5 On August 23, 2021, I served true copies of the following document(s) described as STIPULATION AND [PROPOSED] ORDER on the interested parties in this action as follows: 6 SEE ATTACHED SERVICE LIST 7 **BY MAIL:** I enclosed the document(s) in a sealed envelope or package addressed to the 8 persons at the addresses listed in the Service List and placed the envelope for collection and mailing, following our ordinary business practices. I am readily familiar with the firm's practice for collecting and processing correspondence for mailing. On the same day that correspondence is placed for collection and mailing, it is deposited in the ordinary course of business with the United States Postal Service, in a sealed envelope with postage fully prepaid. 10 11 BY E-MAIL OR ELECTRONIC TRANSMISSION: I caused a copy of the document(s) to be sent from e-mail address Juana. Guevara@mto.com to the persons at the e-mail addresses listed in the Service List. I did not receive, within a reasonable time after the transmission, any electronic message or other indication that the transmission was unsuccessful. 13 I declare under penalty of perjury under the laws of the State of California that the 14 foregoing is true and correct. Executed on August 23, 2021, at Los Angeles, California. 15 16 17 18 19 20 21 22 23 24 25 26 27

28

1 **SERVICE LIST** 2 Gregory Keenan Attorneys for Plaintiffs DIGITAL JUSTICE FOUNDATION 3 81 Stewart Street Floral Park, New York 11001 Tel.: (516) 633-2633 5 gregorv@digitaliusticefoundation.org 6 Andrew Grimm DIGITAL JUSTICE FOUNDATION 15287 Pepperwood Drive Omaha, Nebraska 68 1 54 8 Tel.: (531) 210-2381 andrew@digitaliusticefoundation.org 9 Ryan Hamilton 10 **HAMILTON LAW LLC** 5 125 South Durango, Suite C Las Vegas, Nevada 891 13 11 Tel.: (702) 818-1818 12 rvan@hamlegal.com 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28

		POS-010
	PARTY WITHOUT ATTORNEY (Name, State Bar number, and address): Iamilton, Esq.	FOR COURT USE ONLY
— HÄMILTO	ON LAW .	
	urango Drive, Suite-C s, NV 89113	
	PHONE NO.: (702) 818-1818 FAX NO. (Optional): (702) 974-1139	
	ss (Optional): Ryan@HamLegal.com	
	FOR (Name): Plaintiffs	
SUPERIOR STREET A	court of california, county of Santa Clara	
MAILING A		
CITY AND Z		
BRANC	HNAME: Downtown Superior Court	
PLAINTIF	F/PETITIONER: The Estate of I are "B" H John Herndon,	CASE NUMBER:
	DECDONDENT, Notflix Inc.	21CV382518
DEFENDANI	RESPONDENT: Netflix, Inc.	
	PROOF OF SERVICE OF SUMMONS	Ref. No. or File No.:
	FROOF OF SERVICE OF SUMMONS	
	(Separate proof of service is required for each party	served.)
1. At the tin	ne of service I was at least 18 years of age and not a party to this action.	
2. I served	copies of:	
a. 🗸	summons	
b. 🗸	complaint	
c. 🗸	Alternative Dispute Resolution (ADR) package	
d. 🔽	Civil Case Cover Sheet (served in complex cases only)	
e.	cross-complaint	
f. 🔽	other (specify documents): Civil Lawsuit Notice, Order Deeming Case Compcounsel for Netflix, Inc., on July 13, 2021), Order	lex (Emailed to Blanca Young, blanca.young@mto.com
<u> </u>		and Notice of Case Reassignment
=	served (specify name of party as shown on documents served):	
Netti	lix, Inc.	•
b. 🔽	Person (other than the party in item 3a) served on behalf of an entity or as	an authorized agent (and not a person
	under item 5b on whom substituted service was made) (specify name and	relationship to the party named in item 3a);
	C T Corporation System, Inc., Registered Agent for N	etflix, Inc.
	where the party was served:	
	Brand Blvd., Suite 700, Glendale, CA 91203, 100 Winchester Circ	de, Los Gatos, California 95032
	the party (check proper box)  by personal service. I personally delivered the documents listed in item	2 to the party or person authorized to
a. L	receive service of process for the party (1) on (date):	(2) at (time):
b	by substituted service. On (date): at (time):	l'left the documents listed in item 2 with or
	in the presence of (name and title or relationship to person indicated in it	
	(1) (business) a person at least 18 years of age apparently in ch	
	of the person to be served. I informed him or her of the general	al nature of the papers.
	(2) (home) a competent member of the household (at least 18 ye	ars of age) at the dwelling house or usual
	place of abode of the party. I informed him or her of the gener	al nature of the papers.
	(3) [physical address unknown) a person at least 18 years of a	ge apparently in charge at the usual mailing
	address of the person to be served, other than a United State	s Postal Service post office box. I informed
	him or her of the general nature of the papers.	
	(4) I thereafter mailed (by first-class, postage prepaid) copies of t	
	at the place where the copies were left (Code Civ. Proc., § 41	
	(date): from (city): or (5) attach a declaration of diligence stating actions taken first	a declaration of mailing is attached.
	(5) Lattach a declaration of diligence stating actions taken first	to attempt personal service,

Page 1 of 2

## Case 5:21-cv-06561 Document 3-1 Filed 08/25/21 Page 108 of 110

	PLAINTIF	F/PETITIONER;	CASE NUMBER:	
L DE	FENDANT/I	RESPONDENT: Netflix, Inc.	21CV382518	
100	I EI I EI W	and stockers, inc.		
5.	c. 🔽	by mail and acknowledgment of receipt of service. I mailed the document address shown in item 4, by first-class mail, postage prepaid,	ents listed in item 2 to the party, to the	
		(1) on (date): 07/13/2021 (2) from (city):	Las Vegas, NV	
м		<ul> <li>(3) with two copies of the Notice and Acknowledgment of Receipt at to me. (Attach completed Notice and Acknowledgement of Receipt (4) to an address outside California with return receipt requested.</li> </ul>	ceipt.) (Code Civ. Proc., § 415.30.)	
	d	by other means (specify means of service and authorizing code section).	3 3	
		Additional page describing service is attached.	- N - C	
6.		ce to the Person Served" (on the summons) was completed as follows:		
	a b	as an individual defendant.  as the person sued under the fictitious name of (specify):	2	
	c	as occupant.		
	d. 🔽	On behalf of (specify): Netflix, Inc. under the following Code of Civil Procedure section:		
			siness organization, form unknown)	
		416.20 (defunct corporation) 416.60 (min	* · · · · · · · · · · · · · · · · · · ·	
			rd or conservatee) norized person)	
		416.50 (public entity) 415.46 (occ		
7.		ho served papers other:		
		: Ryan A. Hamilton	48	
		ss: 5125 S. Durango Drive, Suite C		
		e for service was: \$ N/A		
	e. I am:	e de la companya de l	*	
	(1)	not a registered California process server.		
	(2) [	<ul> <li>exempt from registration under Business and Professions Code section</li> <li>a registered California process server:</li> </ul>	n 22350(b).	
	(i) owner employee independent contractor.			
		(ii) Registration No.:		
		(iii) County:		
8.	_ ✓ I de	eclare under penalty of perjury under the laws of the State of California that	the foregoing is true and correct.	
9.	or	m a California sheriff or marshal and I certify that the foregoing is true an	d correct.	
Dat	e: Augu:	st 25, 2021	() 1	
D.,	an A. Ha	milton	In called the	
<u>ry</u>		IMITION PERSON WHO SERVED PAPERS/SHERIFF OR MARSHAL)	SIGNATURE)	

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(1922-2008)

\*ADMITTED IN DC. ALL OTHERS ADMITTED IN CA

Writer's Direct Contact (213) 683-9293 (213) 683-4093 FAX Jennifer.Bryant@mto.com

#### VIA FEDERAL EXPRESS

Ryan A. Hamilton, Esq. Hamilton Law 5125 South Durango Drive, Suite C Las Vegas, NV 89113

Re: H v. Netflix, Inc., Case No. 21CV382518

Dear Ryan:

Enclosed please find the Notice and Acknowledgement of Receipt signed by Blanca Young on behalf of Netflix, Inc. in the above-referenced matter.

Sincerely yours,

Jennifer L. Bryant

J. Bugt

JLB/mg Encl.

		POS-01
ATTORNEY OR PARTY WITHOUT ATTORNEY:	STATE BAR NO: 291349	FOR COURT USE ONLY
NAME: Ryan A. Hamilton, Esq.		46 H (48 M (
FIRM NAME: Hamilton Law		
STREET ADDRESS: 5125 South Durango Driv	ve, Suite C	1
сіту: Las Vegas	STATE: NV ZIP CODE: 89113	1
TELEPHONE NO.: (702) 818-1818	FAX NO.: (702) 974-1139	
E-MAIL ADDRESS: Ryan@HamLegal.com The Estate of Island "B		
SUPERIOR COURT OF CALIFORNIA, COU	NTY OF Santa Clara	
STREET ADDRESS: 191 North First Street		
MAILING ADDRESS:		
CITY AND ZIP CODE: San Jose, CA 95113		
BRANCH NAME: Downtown Superior Coul	t (DTS)	
Plaintiff/Petitioner: The Estate of Phillip H Defendant/Respondent: Netflix, Inc.	"Basa" History, John Herndon, James "Missors" H	
NOTICE AND ACKNOWL	EDGMENT OF RECEIPT—CIVIL	CASE NUMBER: 21CV382518

TO (insert name of party being served): C T Corporation System

#### NOTICE

The summons and other documents identified below are being served pursuant to section 415.30 of the California Code of Civil Procedure. Your failure to complete this form and return it within 20 days from the date of mailing shown below may subject you (or the party on whose behalf you are being served) to liability for the payment of any expenses incurred in serving a summons on you in any other manner permitted by law.

If you are being served on behalf of a corporation, an unincorporated association (including a partnership), or other entity, this form must be signed by you in the name of such entity or by a person authorized to receive service of process on behalf of such entity. In all other cases, this form must be signed by you personally or by a person authorized by you to acknowledge receipt of summons. If you return this form to the sender, service of a summons is deemed complete on the day you sign the acknowledgment of receipt below.

Date of mailing: July 9, 2021	
Ryan A. Hamilton	Day Control of the said
(TYPE OR PRINT NAME)	SIGNATURE OF SENDER MUST NOT BE A PARTY IN THIS CASE)
ACKNOWLED	GMENT OF RECEIPT

This acknowledges receipt of (to be completed by sender before mailing):

X A copy of the summons and of the complaint.

2. X Other (specify):

Order Deeming Case Complex and Staying Discovery and Responsive Pleading Deadline, Order and Notice of Reassignment of Case, Civil Lawsuit Notice

(To be completed by recipient):

Date this form is signed:

July 28, 2021

Blanca F. Young, on behalf of Netflix, Inc.

(TYPE OR PRINT YOUR NAME AND NAME OF ENTITY, IF ANY, ON WHOSE BEHALF THIS FORM IS SIGNED) (SIGNATURE OF PERSON ACKNOWLEDGING RECEIPT, WITH TITLE IF

(SIGNATURE OF PERSON ACKNOWLEDGING RECEIPT, WITH TITLE IF ACKNOWLEDGMENT IS MADE ON BEHALF OF ANOTHER PERSON OR ENTITY)

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